

## Preface

This is part catalog and part encyclopedia. I have listed most of the papers written about the Hrushovski construction and some background material. I have also attempted to categorize the different type of constructions and highlight some of the main ideas. Please be tolerant of inconsistent notation. I drew this together from talks over close to 20 years and have made only modest efforts at unification.

## Outline

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## 1 Introduction: weak ranks and strong submodels

### Prehistory

1. Fraïssé limits– countable homogenous universal relational structures
2. Jónsson: no restriction on cardinality
3. Algebras later– need countably many structures; locally finite

### Grzegorzczuk’s question

**How many  $\aleph_0$ -categorical theories are there? [A68]**

Answer:  $2^{\aleph_0}$

Ehrenfeucht[Ehr72], Glassmire [Gla71], and Henson [Hen72]

Henson’s proof was  $2^{\aleph_0}$  applications of the Fraïssé construction:

Let  $L = \{E, P_n\}_{n < \omega}$  with  $E$  binary and  $P_n$   $n$ -ary. Consider graphs. Let  $A \prec_{\mathbf{K}} B$  in  $\mathbf{K}_X$  if (for exactly those  $n$  in  $X$ )  $P_n$  picks out a maximal complete  $n$ -graph in  $A$  which remains maximal in  $B$ . The  $E$ -reduct of the generic is model complete.

## Limitations

These examples obviously have the independence property.

Much later, Hrushovski [Hru89] showed there are only countably many  $\omega$ -stable  $\aleph_0$ -categorical structures.

## Extension Axioms

If  $A \subseteq B$ , every instance of  $A$  extends to an instance of  $B$ .

## Dense Linear Order

$$\begin{aligned} &(\forall v_0)(\exists z) v_0 < z \\ &(\forall v_0)(\exists z) z < v_0 \\ &(\forall v_0, v_1)(\exists z) v_0 < z < v_1 \end{aligned}$$

## The random graph

Axioms  $\phi_k$  :

$$(\forall v_0 \dots v_{k-1} w_0 \dots w_{k-1})(\exists z) \bigwedge_{i < k} (Rz v_i \wedge \neg Rz w_i)$$

## Language restrictions

What is the role of

*finite?*

*relational?*

## Four Questions

1. Lachlan: Is there a strictly stable  $\aleph_0$ -categorical theory?
2. Zilber: Is there a strongly minimal set that is neither discrete, nor vector space-like nor field-like?
3. Cherlin: Do any two strongly minimal sets have a common expansion?
4. Cherlin-Nesin: Is there a bad field?

## Two Directions: ‘false’ dichotomy

### Ab Initio

A ‘nice’ countable model is constructed from a class of finite models.

### Expansions/Fusions

A ‘nice’ countable model is constructed by expanding or fusing models of strongly minimal theories.

We expand [Bal02]. Other surveys [BS96, Poi02, Wag94].

Kueker and Laskowski [KL92] allow the basic class to be closed under chains rather than submodels.

### The starting models

Let  $T_{-1}$  be a theory such that any subset  $X$  of a model  $N$  of  $T_{-1}$  is contained in a minimal submodel of  $N$ .

$\langle X \rangle_N$  denotes the submodel generated by  $X$ .

Two examples:

1.  $T_{-1}$  is universally axiomatized
2.  $T_{-1}$  is strongly minimal

### Notation

$\overline{\mathbf{K}}_{-1} = \text{mod}(T_{-1})$ ;

$\mathbf{K}_{-1}$  is the *finitely generated* members of  $\overline{\mathbf{K}}_{-1}$ .

### Examples

$T_{-1}$  is a universal theory in a finite relational language;  $\mathbf{K}_{-1}$  is the finite models of  $T_{-1}$ ;

$T_{-1}$  is a universal theory in a countable relational language with only countably many non-isomorphic finite models.;  $\mathbf{K}_{-1}$  is the finite models of  $T_{-1}$ .

$T_{-1}$  is  $\text{Acf}_p$ ;  $\mathbf{K}_{-1}$  contains those algebraically closed fields of finite transcendence degree;

More generally,  $T_{-1}$  is a strongly minimal, inductive theory with elimination of quantifiers and imaginaries and the definable multiplicity property;  $\mathbf{K}_{-1}$  contains the models generated by finitely many independent elements.

### Semimodularity<sup>1</sup>

Let  $\langle K(N), \wedge, \vee \rangle$  be a lattice of substructures of a model  $N$ .

Let  $\delta$  be a function from  $K(N)$  into  $\mathbb{N}$

We write  $\delta(A/B) = \delta(A \vee B) - \delta(B)$ .

$\delta$  is *lower* semimodular (or submodular) if:

$$\delta(A \vee B) - \delta(B) \leq \delta(A) - \delta(A \wedge B).$$

$\delta$  is *upper* semimodular if:

$$\delta(A \vee B) - \delta(B) \geq \delta(A) - \delta(A \wedge B).$$

We say  $\delta$  is modular if both hold.

Lower semimodularity can be rewritten as,  $\delta$  is monotonic: if  $B \subseteq A, C \subseteq N$  and  $A \wedge C = B$ ,

$$\delta(A/B) \geq \delta(A/C).$$

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<sup>1</sup>These note reflect corrections to silly justifications of true statements in [Bal02] pointed out Alice Medvedev.

## Examples

Examples of  $\delta$  include:

1. modular
  - (a) cardinality,
  - (b) vector space dimension
2. lower semi-modular
  - (a) transcendence degree (in  $\omega$ -stable theories).
3. upper semi-modular
  - (a) relation size

The simplest example of ‘relation size’ is just the number of edges in a (symmetric) graph.

## Weak ranks

A *weak rank* is a lower semimodular function  $\delta$  from  $K(N)$  into a discrete subgroup of the reals ( $\mathcal{R}$ ), which is defined on each  $N$  in a class  $\mathbf{K}$ .

A *positive* linear combination of lower semimodular functions is a weak rank.

*Subtracting* an upper semimodular lower function from a lower semimodular functions yields a weak rank.

With this observation, most of the examples of this construction can be seen as built up from the examples given earlier.

## Strong Submodels

**Definition** For  $N \models T_{-1}$ ,  $K(N)$  is the substructures of  $N$  which are in  $\mathbf{K}_{-1}$ . For  $A, B \in \overline{\mathbf{K}}_{-1}$ , we say  $A$  is a *strong substructure* of  $B$  and write  $A \prec_{\mathbf{K}} B$  if: for every  $B' \in \mathbf{K}_{-1}$  with  $B' \subseteq B$ ,  $\delta(B'/B' \cap A) \geq 0$ .

**Definition** We denote by  $\overline{\mathbf{K}}_0$  the members of  $\overline{\mathbf{K}}_{-1}$  which have hereditarily positive rank and by  $\mathbf{K}_0$  those which are finitely generated and have hereditarily positive rank.  $T_0$  denotes the theory of  $\overline{\mathbf{K}}_0$ ,

## Properties of Strong Submodel

**Theorem 1.** *The notion of strong substructure has the following properties.*

- **A1.** *If  $M \in \overline{\mathbf{K}}_{-1}$  then  $M \prec_{\mathbf{K}} M$ .*
- **A2.** *If  $M \prec_{\mathbf{K}} N$  then  $M \subseteq N$ .*
- **A3.** *If  $A, B, C \in \overline{\mathbf{K}}_{-1}$ ,  $A \prec_{\mathbf{K}} B$ , and  $B \prec_{\mathbf{K}} C$  then  $A \prec_{\mathbf{K}} C$ .*

- **A4.** If  $A, B, C \in \overline{\mathbf{K}}_{-1}$ ,  $A \prec_{\mathbf{K}} C$ ,  $B \prec_{\mathbf{K}} C$  and  $A \subseteq B$  then  $A \prec_{\mathbf{K}} B$ .

Since  $\prec_{\mathbf{K}}$  is imposed by  $\delta$ ,

- **A5.** If  $A, B, C \in K(N)$ ,  $A \prec_{\mathbf{K}} C$ ,  $B \subseteq C$ , then  $A \cap B \prec_{\mathbf{K}} B$ .

We restrict to  $\overline{\mathbf{K}}_0$  precisely to obtain:

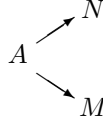
- **A6.**  $\emptyset \in \overline{\mathbf{K}}_0$  and  $\emptyset \prec_{\mathbf{K}} A$  for all  $A \in \overline{\mathbf{K}}_0$ .

### These yield Abstract Elementary Classes

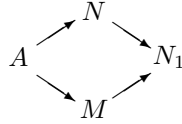
If we close such a class under unions of  $\prec_{\mathbf{K}}$ -chains we get an abstract elementary class.

### AMALGAMATION PROPERTY

The class  $\mathbf{K}$  satisfies the *amalgamation property* if for any situation with  $A, M, N \in \mathbf{K}$ :



there exists an  $N_1$  such that



### Generic vrs rich

#### Rich

**Definition.** The model  $M$  is finitely  $(\mathbf{K}, \prec_{\mathbf{K}})$ -homogeneous or *rich* if  $A \prec_{\mathbf{K}} M$ ,  $A \prec_{\mathbf{K}} B \in \mathbf{K}_0$  implies there exists  $B' \prec_{\mathbf{K}} M$  such that  $B \cong_A B'$ .

Could also be called  $(\mathbf{K}, \prec_{\mathbf{K}})$ -saturated; same as model homogeneous in the acc.

#### Generic

The model  $M$  is *generic* if  $M$  is rich and  $M$  is an increasing union of finite closed substructures.

The usage is confused in the literature.

## Finite Closures

### Definition.

The class  $(\overline{\mathbf{K}}_0, \leq)$  of relational structures has *finite closures* if for every  $A \in \overline{\mathbf{K}}_0$  and every finite  $A_0 \subset A$  there is a finite  $A_1 \in \overline{\mathbf{K}}_{-1}$  with  $A_0 \subseteq A_1 \prec_{\mathbf{K}} A$

Locally closed is the analogous (more general) notion when there are function symbols in the language.

This is true if the generic is  $\omega$ -saturated. [Wag94] posits ‘saturated generic’ as a fundamental axiom but it fails for the stable random graph.

The situation becomes more complicated if functions are allowed.

## Uniqueness

There is at most one generic model.

If  $\mathbf{K}$  is locally closed all rich models are  $L_{\infty, \omega}$  equivalent. So the generic is the unique countable rich model.

## Existence

**Theorem.** If a class  $(\mathbf{K}, \prec_{\mathbf{K}})$  has the amalgamation property and the joint embedding property then there is a  $(\mathbf{K}, \prec_{\mathbf{K}})$ -homogeneous structure  $M$ .

There is a countable  $(\mathbf{K}_0, \prec_{\mathbf{K}})$  generic model  $M$  if there are only countably many pairs  $N_0 \prec_{\mathbf{K}} N_1$  of countable models of  $\mathbf{K}_0$ .

(E.g. if every member of  $\mathbf{K}_0$  is finite.)

Compare the construction in [Vau61].

# 2 Ab Initio Constructions

## Ab Initio

$$\delta = \alpha\delta_1 - \beta\delta_2$$

$\delta_1$  is cardinality of a finite structure.

$\delta_2$  is ‘relation size’.

If there are a finite number of relations symbols

$$\delta_2(B) = \sum \alpha_i |R_i|.$$

## Parameters for ab initio classes

1. The language may be finite or countable.
2. The  $\alpha_i$  may be rational, irrational, or mixed.
3. The class  $\mathbf{K}$  may be *proper* in the class of models with non-negative rank.

Setting some  $\alpha_i = 0$  encompasses the ‘expansion’ case.

## Intrinsic Closure

### Definition

1. Let  $A \subseteq M \in \mathbf{K}$ . The *intrinsic* (or self-sufficient) closure of  $A$  in  $M$ , denoted  $\text{icl}_M(A)$  is the unique minimal  $N$  such that:  $A \subseteq N$ ,  $N \in \mathbf{K}$ ,  $N \prec_{\mathbf{K}} M$ .
2. We say  $B$  is a *minimal intrinsic extension* of  $A$  if  $\delta(B/A) < 0$  but  $\delta(B'/A) \geq 0$  for every  $B'$  with  $B \supset B' \supseteq A$ .

The intrinsic closure can be built up iteratively from minimal intrinsic extensions.

Key issue: Is  $\text{icl}_M(A)$  finite if  $A$  is finite? uniformly?

## 2.1 Ab Initio: Irrational Coefficients

### Ab Initio: $\alpha = 1$ , $\beta$ irrational. I

$$\delta(A) = |A| - \beta R(A).$$

1.  $\mathbf{K}_0^1$ : Hrushovski [Hru88] constructed a strictly stable  $\aleph_0$ -categorical theory.

This refuted Lachlan's conjecture that a stable  $\aleph_0$ -categorical theory is  $\omega$ -stable.

### Ab Initio: $\alpha = 1$ , $\beta$ irrational. II

2.  $\mathbf{K}_0^2$ . Baldwin and Shi [BS96] modified the second Hrushovski construction to construct a stable theory  $T_\beta$ .
3. The exact connections with forking in this class and its CM-triviality are proved in [VY03].
4. [BS97] show this is the almost sure theory of random graphs with edge probability  $n^{-\beta}$  (originally [SS88]).
5. Baldwin [Bal03] (see also Shelah [She00]) has generalized this argument to show a 0-1-law for expansions of successor by graphs with edge probability  $n^{-\beta}$ .
6. For extensions to other edge probabilities see [Bal97].

### Subclasses and Algebraicity

Subclasses of  $\overline{\mathbf{K}}_0$  are studied for two reasons:

1. To guarantee specific properties
2. To enforce algebraicity

### Role of Subclass

The distinction between the Hrushovski and the Baldwin-Shi examples is that Hrushovski restricts to a subclass to bound the growth of  $\text{icl}_M(A)$  and guarantee  $\aleph_0$ -categoricity.

### Almost sure theories

Fix a finite relational language  $L$ . Let  $\mathbf{K}_n$  be a collection of  $L$ -structures with universe  $n$ . Let  $P_n$  be a probability measure on  $\mathbf{K}_n$ .

For any formula  $\phi$ , let

$$P_n(\phi) = \sum \{P_n(B) : B \models \phi, |B| = n\}.$$

E.g.  $\mathbf{K}_n$  is all graphs of size  $n$ ;  $P_n$  is the uniform distribution (edge probability  $1/2$ ).

$T$  is an *almost sure theory* if for some  $(\mathbf{K}_n, P_n)$ ,  $\phi \in T$  iff  $\lim_{n \rightarrow \infty} P_n(\phi) = 1$ .

0-1 law for finite graphs (Glebski et al, Fagin [GKLT69, Fag76]):

The (theory of the )random graph is almost sure with respect to the uniform distribution as each extension axiom has limit probability 1.

### Random Graphs

Let  $B$  be a graph with  $|B| = n$ . Let

$$P_n(B) = n^{-\alpha|e(B)|} \cdot (1 - n^{-\alpha})^{\binom{n}{2} - e(B)}.$$

Let  $\alpha$  be irrational  $0 < \alpha < 1$ .

**Theorem.** [Spencer-Shelah] For each first order sentence  $\phi$ ,  $\lim_{n \rightarrow \infty} P_n(\phi)$  is 0 or 1.

**Theorem.**[Baldwin-Shelah] The almost sure theory is stable and nearly model complete. (It does not have the finite cover property.)

### Quantifier Reduction

**Definition.**  $T$  is *model complete* if every formula is equivalent in  $T$  to an existential formula.

**Definition.**  $T$  is *nearly model complete* if every formula is equivalent in  $T$  to a *Boolean Combination* of existential formulas.

### Random graph: $n^{-\beta}$

Baldwin, Shi, Spencer, Shelah gave a  $\pi_3$  axiomatization of the random graph with edge probability  $n^{-\beta}$ . This meant that a ‘second moment’ argument was necessary to prove the axioms almost surely true.

$T_\beta$  is nearly model complete.

$T_\beta$  is not model complete. [BS97]



**Ab Initio:**  $\alpha = 1$ ,  $\beta$  irrational.

**Laskowski's improvements I**

Return to the original extension axioms:

If  $A \prec_{\mathbf{K}} B$ , every instance of  $A$  extends to an instance of  $B$ .

Building on ideas of Ikeda [Ike05], Laskowski axiomatizes  $T_\beta$  with these extension axioms.

**Ab Initio:**  $\alpha = 1$ ,  $\beta$  irrational.

**Laskowski's improvements II**

1.  $T_\beta$  is  $\pi_2$ -axiomatizable;
2. this means verification of the 0-1 law is easy.
3.  $T_\beta$  is nearly model complete in a very specific way.
4. better proofs that this theory has the dimensional order property but not the finite cover property (originally [BS98]).

**Laskowski III Existential closure**

Locally finite means the ‘model theoretic algebraic closure of a finite set is finite’.

For  $T_\beta$

1. There is no strong embedding of any nonempty finite structure into an existentially closed model. (No e.c. model is locally finite.)
2. There are locally finite models that are not generic.
3. The generic model is locally finite.

**Ab Initio:**  $\alpha = 1$ , many irrational  $\beta$

Herwig [Her95] varied the construction by allowing an infinite language to find a stable theory with infinite  $p$ -weight. This paper also contains the best published exposition of Hrushovski’s  $\aleph_0$ -categorical stable theory. See also [Wag94].

**Simple Theories**

To construct (Hrushovski) strictly simple theories, make the inequality in the definition of strong substructure strict.

For  $A, B \in \overline{\mathbf{K}}_{-1}$ , we say  $A$  is a *\*-strong substructure* of  $B$  and write  $A \prec_{\mathbf{K}}^* B$  if for every  $B' \in \mathbf{K}_{-1}$  with  $B' \subseteq B$ ,  $\delta(B'/B' \cap A) > 0$ .

There is an  $\aleph_0$ -categorical strictly simple theory where forking is not locally modular. [Hru88],[Hru] [Pou00] [PW06].

### An annoying open problem

Conjecture: If an *ab initio* generic structure is superstable then it is  $\omega$ -stable.

Suppose  $\alpha$  is irrational. (I.e. the  $\alpha_i$  are  $\mathbb{Q}$ -linearly independent.)

If

1.  $\mathbf{K}$  contains all acyclic finite graphs (Ikeda [Ike05]) or
2.  $\mathbf{K}$  is all finite graphs with non-negative rank (Laskowski [Las07])

the generic is strictly stable.

Ikeda's proof was the spark for Laskowski's work.

### Closure under Quasisubstructure

Work of Anbo and Ikeda [AI].

#### Definition

$\mathbf{K}$  is closed under quasisubstructure if  $A \in \mathbf{K}$  and  $B \subset A$  and for every relation symbol  $R$ ,  $R(B) \subset R(A) \cap B$  then  $B \in \mathbf{K}$ .

#### Theorem (Anbo-Ikeda)

If  $\mathbf{K}$  is an *ab initio* class such that the generic is saturated and  $\mathbf{K}$  is closed under quasi-substructures then if the theory of the generic is superstable, it is  $\omega$ -stable.

### Some more open problems

Baldwin[Bal03] and Shelah (independently) extended the 0-1 law for  $n^\alpha$  to random expansions of successor.

Integrate Laskowski's idea to:

1. give a simple proof of the 0-1 law over successor.
2. Prove the 0-1 law over vector spaces.
3. What happens in proper subclasses  $\mathbf{K}$  of  $\mathbf{K}_\beta$ ?

## 2.2 Ab Initio: rational coefficients

### Dimension Functions

A weak rank  $\delta$  is a *predimension* if  $\delta$  maps into the integers.

**Definition.**

1. For  $M \in \overline{\mathbf{K}}_0$ ,  $A \subseteq M$ ,  $A \in \mathbf{K}_0$ ,  $d_M(A) = \inf\{\delta(B) : A \subset B \subseteq M, B \in \mathbf{K}_0\}$ .
2. For  $A, b$  contained  $M$ ,  $b \in \text{cl}(A)$  if  $d_M(bA) = d_M(A)$ .

Extend to infinite sets by imposing finite character.

## Dimension Function Properties

### Lemma.

1.  $\text{cl}$  is monotone and idempotent.
2. If, in addition  $\delta$  is a predimension:
  - (a) if for any finite  $X$ ,  $d_M(X) \leq |X|$  then the closure system satisfies exchange.
  - (b) For finite  $A$ ,  $\text{icl}(A)$  is finite.

## Saturation of the Generic

We discuss several strengthenings from [BS96] of the notion of amalgamation which imply the generic saturated.

### Uniform Amalgamation I

We say  $A$  is  $n$ -strong in  $B$ , written  $A \leq_n B$ , if for any  $B'$  with  $A \subseteq B' \subseteq B$  and  $|B' - A| \leq n$ ,  $A \leq B'$ .

$(\mathbf{K}_0, \prec_{\mathbf{K}})$  has the *uniform amalgamation property* (u.a.p.) if the following condition holds for every  $A \leq B \in \mathbf{K}_0$ . For every  $m \in \omega$  there is an  $n = f_B(m)$  such that if  $A \leq_n C$  then there is a  $D$ , a strong embedding of  $C$  into  $D$  and an  $m$ -strong embedding of  $B$  into  $D$  that complete a commutative diagram with the given embeddings of  $A$  into  $B$  and  $C$ .

### Uniform Amalgamation and $\omega$ -saturation

As pointed out by Herwig, Poizat, Wagner if  $\mathbf{K}$  has finite closures then  $(\mathbf{K}_0, \prec_{\mathbf{K}})$  has uap iff  $M$  is  $\omega$ -saturated.

Is there a finitely closed class that has a.p. but not u.a.p.?

Kueker and Laskowski [KL92] prove that if the generic structure  $M$  is weakly saturated then  $M$  is saturated.

### Sharp Amalgamation and $\omega$ -saturation

#### Definition

$(\mathbf{K}_0, \prec_{\mathbf{K}})$  has the *sharp amalgamation property* if for every  $A, B, C$  in  $\mathbf{K}_0$  with  $A \leq B$  and  $A \leq_{|B|-|A|} C$ , if  $B$  is a primitive extension of  $A$ , then either  $B \otimes_A C \in \mathbf{K}_0$  or there is a strong embedding of  $B$  into  $C$  over  $A$ .

Note that any one-point extension must be primitive. It is now straightforward to prove by induction that

#### Proposition

If  $(\mathbf{K}_0, \prec_{\mathbf{K}})$  has the sharp amalgamation property then  $(\mathbf{K}_0, \prec_{\mathbf{K}})$  has the uniform amalgamation property with  $f_B(m) = m + |B - A|$ .

Note that classes defined by a successful Hrushovski construction (with  $\mu$  function) have u.a.p.

## Open Question

### Prove or Disprove

In the *ab initio* case with finitely many rational coefficients for any subclass  $\mathbf{K}$  of  $\overline{\mathbf{K}}_0$ ,

the generic is always saturated.

For expansions, this fails in general [BH00].

### Counting Extensions

Suppose  $A, B \in \mathbf{K}_0$ . For any  $M \in \mathbf{K}_0$  and let  $\chi_M(A/B)$  denote the number of copies of  $A$  over  $B$  in  $M$ . Note:

- $\delta(A/B) < 0$  implies  $\chi_M(A/B)$  is finite.
- $\delta(A/B) > 0$  implies  $\chi_M(A/B)$  is infinite.
- $\delta(A/B) = 0$  implies  $\chi_M(A/B)$  is undetermined.

If  $\alpha$  is irrational the third case cannot occur.

If  $\alpha$  is rational we control case iii).

### Primitives

**Definition** Let  $A, B \in \mathbf{K}_0$ . We say  $A$  is *primitive* over  $B$  if  $\delta(A/B) = 0$  and for any  $A'$  with  $B \subset A' \subset A$ ,  $\delta(A/A') < 0$ .

In the *ab initio* case one needs to also minimize the base  $B$ ; in the bicolored field case this falls out from the general theory of canonical bases.

The following description (accurate in the *ab initio* case) oversimplifies the statement in e.g., the bicolored field case, but expresses the spirit of the argument.

### $\mathbf{K}^\mu$

To guarantee  $\aleph_1$ -categoricity of the generic, one studies the subclass  $\mathbf{K}^\mu$  of those  $M \in \mathbf{K}_0$  where for each primitive  $A/B$ ,

$$\chi_M(A/B) \leq \mu(A/B)$$

for a given function  $\mu$  from primitive pairs into  $\mathbb{N}$ .

If the generic model for  $\mathbf{K}^\mu$  is  $\omega$ -saturated, categoricity follows easily.

If  $\mu$  is *not* finite-to-one,  $T$  may not be  $\omega$ -stable [BH00].

So finite-to-one is assumed below.

### Ab Initio: $\alpha = \beta = 1$ .

$\delta_1(B)$  is the cardinality of a finite relational structure  $B$  and

$\delta_2(B)$  is the number of tuples which satisfy a fixed list of symmetric relations on  $B$ .

$\delta_1(B) - \delta_2(B)$  is the dimension function for the first application of the method: Hrushovski's new strongly minimal set

**Ab Initio:**  $\alpha = \beta = 1$ .

1. The class  $\mathbf{K}_0^\mu$  depends on a function  $\mu$  into  $\mathbb{N}$  with a finite-to-one  $\mu$  yields [Hru93] a strongly minimal set.
2. If the  $\mu$ -function is relaxed to allow even one infinite value, the rank is infinite [BI94]. There are continuum many different theories of this sort depending on the choice of  $\mu$ .

**Ab Initio:**  $\alpha = \beta = 1$ .

3. Working with the class of all structures  $\mathbf{K}_0$  with hereditarily non-negative rank yields a theory of rank  $\omega$  [Goo89]. There are countably many classes which satisfy a certain ‘ $\delta$ -invariance’ condition; they are classified in [Are95, ABM99].
4. It is straightforward that Hrushovski’s example does not admit elimination of imaginaries but Verbovskiy [Ver06] provides a variant which does.
5. There are minimal but not strongly minimal structures with arbitrary finite dimension [Ike01]

**Ab Initio:**  $\alpha$  an integer  $\beta = 1$ .

1. Baldwin [Bal94] varied the method to construct almost strongly minimal projective planes which have no infinite definable groups of automorphisms. In [Bal95] he showed these planes had the least possible structure in the sense of the Lenz-Barlotti classification.
2.  $\alpha = n - 1$ ,  $\beta = n - 2$ . Debonis and Nesin (for odd  $n$ ) [MJDB98] and Tent [Ten00] (uniformly for all  $n$ ) constructed almost strongly minimal generalized  $n$ -gons. The automorphism groups of Tent’s structures were highly transitive even though they were not Moufang. Thus she showed that the analog of the Feit-Higman theorem [FH64] did not hold for finite Morley rank  $n$ -gons.

### 3 Expansions & Fusions

**Fusions:**

$\delta_1, \delta_2$  are Morley rank on two finite rank structures which share the same universe. Let,

$$\delta(\mathbf{x}) = \alpha\delta_1(\mathbf{x}) + \beta\delta_2(\mathbf{x}) - \text{lg}(\mathbf{x}).$$

$$\alpha = \beta = 1$$

1. Hrushovski [Hru92] showed any two reasonable sm sets have a common expansion.

- Holland [Hol97, Hol95] clarifies this construction and in [Hol99] proves that these theories (as well as the Hrushovski strongly minimal set) are model complete.

### Groups

- $\delta_1, \delta_2$  are the vector space dimension of a vector space  $E$  and an associated subspace of  $\bigwedge^2 E$ .  $\delta = \delta_1 - \delta_2$ . Baudisch [Bau95] constructs a nilpotent  $\aleph_1$ -categorical group which does not interpret a field.
- In [Bau00], Baudisch analyzes some obstructions to extending Hrushovski's construction of a strictly stable structure to find a strictly stable  $\aleph_0$ -categorical group.

### Fields

- Poizat [Poi99] constructs an  $\omega$ -stable field of rank  $\omega \times 2$  with a proper definable subset (additive subgroup, multiplicative subgroup) [Poi01]
- Baldwin-Holland [BH00][BH01] construct a rank 2 field with a proper definable subset.
- Baldwin-Holland construct a rank  $k$  [BH03] field with a proper definable subset.

### Model Completeness

#### Lindstrom's little theorem

If a  $\pi_2$  theory is categorical in some infinite power then it is model complete.

Baldwin-Holland [BH04]:

- show Poizat's infinite rank bicolored field is *not* model complete;
- provide a sufficient condition for the  $\aleph_1$ -categorical expansions of strongly minimal sets to be model complete;
- show an expansion by constants of Baldwin's projective plane is model complete.

#### The Second/third Generation

Given a q.e. strongly minimal theory with the definable multiplicity property.

(Note automatically  $\pi_2$ -axiomatizable.)

### Expansion II

There is a finite rank expansion of an algebraically closed field with

1. a proper definable additive subgroup [BMPZ07b] (Baudisch, Martin-Pizzaro and Ziegler)
2. a proper definable *multiplicative* subgroup [BHMPW07] (Baudisch, Hils, Martin-Pizzaro and Wagner). BAD FIELD

### Fusions: II

$T$  is *good* if it has finite Morley rank with definable rank and degree. Ziegler [Zie08]

1. Any two good  $T_1$  and  $T_2$  have a common conservative expansion with rank a common multiple of their ranks. This implies:
2. the existence of a bicolored field.
3. every good theory can be interpreted in a strongly minimal set. [Has07].

Ziegler makes two ‘technical’ assumptions; without them it isn’t known if  $T^\mu$  is even complete.

### The Additive Collapse

Baudisch [Bau] provides a unified treatment of:

1. basic fusion [BMPZ07a] [HH06]
2. fusions over vector spaces [BMPZ06]
3. finite rank expansions of an acf with a predicate for an additive subgroup [BMPZ07b]
4. construction of the Baudisch group. [Bau95]

## 4 Infinitary Case

### Zilber Constructions

openprobSkip to Open problems

1. Quasiminimal Excellent Classes [Zil05, Bal, Kir07]
2. Covers of Abelian varieties [BZ00, Zil06, Zil03]
3. Pseudoexponentiation [Zil04]

## QUASIMINIMAL EXCELLENCE

A class  $(\mathbf{K}, \text{cl})$  is *quasiminimal excellent* if  $\text{cl}$  is a combinatorial geometry which satisfies on each  $M \in \mathbf{K}$ :

1. there is a unique type of a basis;
2. a technical homogeneity condition:  $\aleph_0$ -homogeneity over  $\emptyset$  and over models;
3. (ccp) the closure of a finite set is countable;
4. and ‘excellence’: unique amalgamation of  $n$  independent countable models for all  $n$ .

### Consequences

Let  $A \prec_{\mathbf{K}} B$  if  $A$  is closed in  $B$ .

Note  $\prec_{\mathbf{K}}$  ‘is’ the  $\leq^*$  for  $L_{\omega_1, \omega}(Q)$  in [Bal].

If  $(\mathbf{K}, \text{cl})$  satisfies 1) and 2) then  $\mathbf{K}$  is  $\aleph_1$ -categorical.

Any QME class closed under unions of chains (and with an infinite dimensional model) is [Kir07] :

1. Categorical in all uncountable powers
2. axiomatizable in  $L_{\omega_1, \omega}(Q)$

### Context for Quasiminimal excellence

QME codifies some consequences for combinatorial geometry of the Hrushovski construction. It then adds others (homogeneity over models and excellence) which are immediate consequences of the construction. Excellence is expounded in a larger context in [Bal] and [She83a, She83b].

In particular, there is *no* use of a dimension function in the next example (covers). But there is in the second infinitary example.

### Covers of Algebraic Groups

**Definition** A cover of a commutative algebraic group  $A(\mathcal{C})$  is a short exact sequence

$$0 \rightarrow Z^N \rightarrow V \xrightarrow{\text{exp}} A(\mathcal{C}) \rightarrow 1. \quad (1)$$

where  $V$  is a  $\mathbb{Q}$  vector space and  $A$  is an algebraic group, defined over  $k_0$  with the full structure imposed by  $(\mathcal{C}, +, \cdot)$  and so interdefinable with the field.



### Axiomatizing Covers: first order

Let  $A$  be a commutative algebraic group over an algebraically closed field  $F$ .

Let  $T_A$  be the first order theory asserting:

1.  $(V, +, f_q)_{q \in \mathbb{Q}}$  is a  $\mathbb{Q}$ -vector space.
2. The complete first order theory of  $A(F)$  in a language with a symbol for each  $k_0$ -definable variety (where  $k_0$  is the field of definition of  $A$ ).
3.  $\exp$  is a group homomorphism from  $(V, +)$  to  $(A(F), \cdot)$ .

### Axiomatizing Covers: $L_{\omega_1, \omega}$

Add to  $T_A$

$\Lambda = \mathbb{Z}^N$  asserting the kernel of  $\exp$  is standard.

$$(\exists \mathbf{x} \in (\exp^{-1}(1))^N)(\forall y)[\exp(y) = 1 \rightarrow \bigvee_{\mathbf{m} \in \mathbb{Z}^N} \sum_{i < N} m_i x_i = y]$$

### Finitary AEC

For any  $A$ :

$$T_A + \Lambda = \mathbb{Z}^N$$

1. has arbitrarily large models
2. has the amalgamation property

### Algebraic Input

$$A = (\mathcal{C}, \cdot), A = (\overline{F}_p, \cdot)$$

Number theoretic argument shows homogeneity over models and excellence [Zil06, BZ00]. So for this choice of  $A$  the class of covers is categorical in all powers.

### other $A$

Open problems; serious algebra and model theory. [Gav06, Gav08]

### ZILBER'S PROGRAM FOR $(\mathcal{C}, +, \cdot, \exp)$

Goal: Realize  $(\mathcal{C}, +, \cdot, \exp)$  as a model of an  $L_{\omega_1, \omega}(Q)$ -sentence discovered by the Hrushovski construction.

**A.** Expand  $(\mathcal{C}, +, \cdot)$  by a unary function which behaves like exponentiation using a Hrushovski like dimension function. Prove some  $L_{\omega_1, \omega}$ -sentence  $\Sigma$  is categorical and has quantifier elimination.

**B.** Prove  $(\mathcal{C}, +, \cdot, \exp)$  is a model of the sentence  $\Sigma$  found in Objective A.

### THE AXIOMS

$$L = \{+, \cdot, E, 0, 1\}$$

$$(K, +, \cdot, E) \models \Sigma \text{ if}$$

$K$  is an algebraically closed field of characteristic 0.

$E$  is a pseudo-exponential

### $L_{\omega_1, \omega}$ -axioms

$E$  is a homomorphism from  $(K, +)$  onto  $(K^x, \cdot)$  and there is  $\nu \in K$  transcendental over  $\mathbb{Q}$  with  $\ker E = \nu Z$ .

$K$  is strongly exponentially algebraically closed.

### $L(Q)$ -axioms

ccp: The closure of a finite set is countable.

### PSEUDO-EXPONENTIAL

$E$  is a pseudo-exponential if for any  $n$  linearly independent elements over  $\mathbb{Q}$ ,  $\{z_1, \dots, z_n\}$

$$d_f(z_1, \dots, z_n, E(z_1), \dots, E(z_n)) \geq n.$$

Schanuel conjectured that true exponentiation satisfies this equation.

### CONSISTENCY AND CATEGORICITY

For a finite subset  $X$  of an algebraically closed field  $k$  with a partial exponential function. Let

$$\delta(X) = d_f(X \cup E(X)) - ld(X).$$

Apply the Hrushovski construction to the collection of such  $k$  with  $\delta(X) \geq 0$  for all finite  $X$ .

The  $\delta$  yields a combinatorial geometry. Further algebraic arguments yield that the class is quasiminimal excellent achieving Objective A.

### Open Questions

1. Is there a strictly stable  $\aleph_0$ -categorical group?
2. Is dmp needed for the fusion construction?
3. When does the Hrushovski construction yield a first order theory? When is it model complete?
4. Is there an  $\aleph_0$ -homogeneous (over models) quasiminimal class which is *not* excellent?
5. Is there a 'Hrushovski construction' that is not  $\aleph_0$ -homogeneous (over models)?

### Where to start?

1.  $\aleph_0$ -categorical strictly stable [Her91]
2. ab initio
  - (a) rational/irrational [BS96] supplemented by [VY03].
  - (b) rational [Wag94] (His framework doesn't handle the random graph.)
  - (c) irrational  $\alpha$ , 0-1-laws: [Las07]
3. fusions and expansions [BMPZ07a]? 2nd generation in any case.
4. Infinitary [Bal, Kir07]

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