# Grafting of Riemann Surfaces & Limits of Complex Projective

Structures



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## Motivation

Study complex projective geometry on Riemann surfaces and connections to Teichmüller theory and three-dimensional hyperbolic geometry.

Specifically, investigate the dual nature of complex projective surfaces as both holomorphic and geometric ( $\mathbb{H}^3$ ) objects, and try to compare these perspectives.

Results include:

- Asymptotic formula for the change from complexanalytic to geometric coordinates.
- Geometric compactification of the deformation space of projective structures that is compatible with the foliation by underlying conformal structure.

#### Plan

- Grafting
- Thurston's theorem
- Complex Structures
- $\bullet$  Limits of  $\mathbb{C}\mathsf{P}^1$  structures

**Grafting** is a cut-and-paste operation on Riemann surfaces.

Start with Y, a hyperbolic RS, and  $\gamma$ , a simple closed hyperbolic geodesic. Now cut Y along  $\gamma$  and insert a Euclidean cylinder of length t.



The result is  $\operatorname{gr}_{t\gamma} Y$ , the grafting of Y along  $t\gamma$ .

Grafting extends continuously to limits of weighted simple closed geodesics, i.e. **measured laminations**. Intuitively, cut Y along  $\lambda \in \mathcal{ML}$  and insert Euclidean strips along leaves of  $\lambda$ .



In the universal cover, this amounts to removing the lifts of geodesics in  $\lambda$  and replacing them with **lunes** (regions bounded by circular arcs),



because the Euclidean metric on the lunes is the product of hyperbolic arc length and angle measure:



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The relationship between  $\operatorname{gr}_{\lambda} Y$  and Y generalizes that between a domain  $\Omega \subset \widehat{\mathbb{C}}$  and its convex hull boundary  $\partial CH(\Omega) \subset \mathbb{H}^3$ .



The universal cover of  $\operatorname{gr}_{\lambda} Y$  naturally spreads out over  $\widehat{\mathbb{C}}$ , which is the boundary of hyperbolic space  $\mathbb{H}^3$ . The boundary of the local convex hull is a locally convex pleated surface isometric to  $\widetilde{Y}$ bent along  $\lambda$ .



This map  $f: gr_{\lambda}Y \to \widehat{\mathbb{C}}$  is uniquely determined up to composition with Möbius transformations, and intertwines the action of  $\pi_1Y \simeq \pi_1(gr_{\lambda}Y)$ by deck transformations with the action of some representation  $\eta(Y, \lambda) : \pi_1(Y) \to \mathsf{PSL}_2(\mathbb{C})$ .

The representation  $\eta(Y, \lambda)$  is sometimes called the **bending** of the Fuchsian group  $\pi_1(Y)$ .

The pair  $(f, \eta)$  define a **complex projective structure**, that is, an atlas of charts with values in  $\hat{\mathbb{C}}$  and Möbius transition functions.

Note: this is a projective structure on  $gr_{\lambda}Y$ , not on Y. (This issue causes some headaches.)

Thurston has shown that every complex projective structure arises from grafting in a unique way, i.e. that

### $\operatorname{Gr}: \mathscr{ML}(S) \times \mathscr{T}(S) \to \mathscr{P}(S)$

is a homeomorphism, where  $\mathscr{T}(S)$  is the Teichmüller space, and  $\mathscr{P}(S)$  is the space of (marked) complex projective structures.

The convex hull construction is the cornerstone of the proof; starting with a projective structure, one can then find the bending lamination and construct the inverse map  $Gr^{-1}$ .

Using this **Thurston parameterization** of  $\mathscr{P}(S) \simeq \mathscr{ML}(S) \times \mathscr{T}(S)$ , one can define a map

$$u:\mathscr{P}(S)\to\mathscr{T}(S)$$

that "forgets" the grafting coordinate. We call this the **ungrafting** or **convex hull** map.

The Thurston parameterization makes the connection between  $\mathbb{CP}^1$ -geometry and three-dimensional hyperbolic geometry more transparent.

The fiber of the ungrafting map u over  $Y \in \mathscr{T}(S)$ is the set of all projective structures obtained by grafting Y (or bending the Fuchsian group  $\pi_1(Y)$ ).

Since Möbius transformations are holomorphic, a complex projective structure also determines a complex structure. Thus we have the forgetful map, or **projection** 

 $\pi:\mathscr{P}(S)\to\mathscr{T}(S).$ 

The fiber of  $\pi$  over  $X \in \mathscr{T}(S)$  is the set of all complex projective surfaces with underlying complex structure X.

However, it is not clear how the map  $\pi$  is related to the grafting coordinates for  $\mathscr{P}(S)$ .

Compare this to the case of Teichmüller space:

- symplectically natural coordinates (e.g. Fenchel-Nielsen)
- complex-analytic coordinates (e.g. Bers, horocyclic)
- Kähler structure ?

For projective structures, we have:

- $\mathbb{H}^3$ -natural coordinates (Thurston / grafting)
- complex-analytic coordinates (via  $\pi$ , ...)
- unified perspective?

The fiber  $P(X) = \pi^{-1}(X)$  of the projection to  $\mathscr{T}(S)$  can be described in terms of **holomorphic** quadratic differentials.

A complex projective structure on X gives a **developing map** 

$$f: \tilde{X} \simeq \mathbb{H} \to \widehat{\mathbb{C}}.$$

For example, the identity gives the complex projective structure coming from the Fuchsian uniformization of X.

The Schwarzian derivative S(f) is a differential operator that measures the deviation of ffrom being Möbius; the result is a holomorphic quadratic differential  $\phi \in Q(X)$ :

$$\phi(z) = S(f(z)) = \left(\frac{f''(z)}{f'(z)}\right)' - \frac{1}{2} \left(\frac{f''(z)}{f'(z)}\right)^2 dz^2$$

One can reverse this process; starting with  $\phi \in Q(X)$ , one can locally invert the Schwarzian (using only linear ODE!) to construct a developing map, and thus, a projective structure.

Hence P(X) can be identified with the complex vector space  $Q(X) \simeq \mathbb{C}^{3g-3}$ .

Together, the fibers  $\{P(X) \mid X \in \mathscr{T}(S)\}$  foliate  $\mathscr{P}(S)$  by properly holomorphically embedded copies of  $\mathbb{C}^{3g-3}$ .

Question: What does the fiber P(X) look like in the grafting coordinates?

Equivalently, what pairs  $(Y, \lambda)$  graft to give a Riemann surface isomorphic to X?

**Compactify**  $\mathscr{P}(S) \simeq \mathscr{ML}(S) \times \mathscr{T}(S)$  by adding  $\mathscr{PML}(S) \times \mathscr{PML}(S)$ , where

- $PM\mathscr{L}(S)$  is adjoined to  $\mathscr{T}(S)$  via the Thurston compactification, and
- $PM\mathscr{L}(S)$  is adjoined to  $\mathscr{ML}(S)$  in the natural way.

The result is  $\overline{\mathscr{P}(S)}$ , the **geometric compactifi**cation.

Bring the complex structure into play as follows: For  $X \in \mathscr{T}(S)$ , define an involution

 $i_X : \mathscr{ML}(S) \to \mathscr{ML}(S)$ 

so that  $i_X(\lambda) = \mu$  if  $\lambda$  and  $\mu$  are measureequivalent to the **vertical** and **horizontal** measured foliations of a holomorphic quadratic differential  $\phi$  on X.

(Also use  $i_X$  to denote the induced involution on  $P\mathcal{ML}(S)$ .)

This involution depends sensitively on X, as orthogonality of foliations is dependent upon the conformal structure.

**Thm:** The boundary of P(X) in the geometric compactification  $\overline{\mathscr{P}(S)}$  is the graph of  $i_X$ :

 $\partial \overline{P(X)} = A_X = \{ ([\lambda], [i_X(\lambda)]) \} = \{ (\lambda, \mu) | i_X(\lambda) = \mu \}$ 

One can also state this in terms of limits:

**Cor:** Let  $\lambda_i \in \mathscr{ML}$  be the grafting laminations of a divergent sequence in P(X). If

$$\lambda_i \to [\lambda] \in P\mathscr{ML}$$

then

$$Y_i \to [i_X(\lambda)] \in P\mathscr{ML},$$

where  $Y_i$  are the ungrafted surfaces, i.e.  $gr_{\lambda_i}Y_i = X$ .

Note that  $P\mathscr{ML}(S)$  is homeomorphic to an odddimensional sphere, and  $i_X$  is a fixed-point-free involution. Thm A also implies that the boundary of each P(X) in  $\overline{\mathscr{P}(S)}$  is a regularly embedded manifold

$$A_X \simeq S^{2k+1} \hookrightarrow P\mathcal{ML} \times P\mathcal{ML}.$$

However, while P(X) and P(X') are disjoint for distinct  $X, X' \in \mathscr{T}(S)$ , the boundaries  $A_X$  and  $A_{X'}$  may intersect.

For example, this happens when X and X' are both "rectangular" punctured tori; in this case, the pair of foliations by parallels to the rectangle's edges always represent the same pair of laminations.

The geometry of this situation is reminiscent of a symmetric space M and its boundary  $\partial M$ . For each point  $p \in M$ , there is a **geodesic involution**  $i_p : M \to M$ . The set  $M(p) \subset M \times M$  of pairs of points with midpoint p has boundary equal to the graph of  $i_p$ .

(One might say that grafting  $\lambda$  into Y is like taking the "midpoint" of  $\lambda$  and Y; fixing the result X forces  $\lambda$  and Y to move in opposite directions.) One thing missing from this discussion is the Schwarzian derivative, which is a holomorphic quadratic differential naturally attached to a projective surface.

As a sequence of projective structures on X degenerates, it approaches a pair of orthogonal laminations  $(\lambda, \mu)$ . One might imagine that the Schwarzian is related to the quadratic differential whose vertical and horizontal laminations are  $(\lambda, \mu)$ .

**Conjecture:** The Schwarzian derivative identifies the geometric compactification  $\overline{\mathscr{P}(S)}$  with the compactification  $\overline{\mathscr{Q}(S)}$  of the bundle of holomorphic quadratic differentials where  $P^+Q(X)$  is adjoined to Q(X).

#### Sketch of the proof

- Start with a projective structure on X obtained by grafting,  $X = gr_{\lambda}Y$ .
- Examine the retraction map r : X → Y that collapses the grafted part back to λ; recall X has the Thurston metric that combines hyperbolic and Euclidean parts.
- The energy of r is (one half of) the squared  $L^2$  norm of its derivative; r is distancenonincreasing, and always has an isometric direction, so the energy is the area.
- The hyperbolic part of X can be reassembled to get Y, hence its area is  $2\pi |\chi|$ .
- The Euclidean part of X is a thickened version of the lamination  $\lambda$  on Y, so its area is

$$\int_{Y} \mathrm{d}\ell \times \mathrm{d}m(\lambda) \stackrel{def}{=} \ell(\lambda, Y).$$

- $\mathscr{E}(r) = \frac{1}{2} \| \mathrm{d}r \|_2^2 = \frac{1}{2} (\ell(\lambda, Y) + 2\pi |\chi|)$
- Minsky's inequality:

 $\mathscr{E}(h: X \to Y) \ge \ell(\lambda, Y)^2/(2E(\lambda, X))$ where h is the harmonic (minimal energy) map.

•  $E(\lambda, X)$  is the extremal length, the supremum of  $\ell^2$ /Area over conformal metrics. Restricting to a subsurface (e.g., the Euclidean part) only increases extremal length.

$$E(\lambda, X) \le E(\lambda, r^{-1}(\lambda)) = \ell(\lambda, Y)$$

• Substitute into Minsky's ineq:

$$\mathscr{E}(h:X\to Y) \ge \ell(\lambda,Y)^2/(2\ell(\lambda,Y)) = \frac{1}{2}\ell(\lambda,Y)$$

• Harmonic map has minimal energy, so  $\frac{1}{2}(\ell(\lambda, Y) + 2\pi|\chi|) = \mathscr{E}(r) \ge \mathscr{E}(h) \ge \frac{1}{2}\ell(\lambda, Y)$ 

- Thus r is nearly harmonic (excess energy is O(1)).
- As  $Y \to \infty$ , we can rescale the metric on  $\tilde{Y}$  so that it converges (G-H) to the  $\mathbb{R}$ -tree  $T_{\mu}$ , where  $Y \to [\mu]$  (Paulin, Bestvina).
- After rescaling,  $\mathscr{E}(r) \mathscr{E}(h) \to 0$ .
- Harmonic maps machinery (Korevaar-Schoen):

 $(\Delta \mathscr{E} \to 0) \Rightarrow (W^{1,2} \text{ convergence})$ 

 The Hopf differential Φ of a harmonic map is the (2,0) part of its derivative; vertical foliation is most compressed and horizontal most expanded.

- Harmonic map to the R-tree T<sub>μ</sub> collapses μ, so vertical foliation of Φ is equivalent to μ (Wolf).
- On the other hand, r compresses the direction orthogonal to  $\lambda$  and preserves the direction parallel to  $\lambda$ .
- Thus the Hopf differential of r, which converges to that of h, has **horizontal** foliation approaching  $\lambda$ .
- So in the limit,  $\lambda$  (grafting lamination) and  $\mu$  (Thurston limit) are orthogonal.