# Some open problems in matchings in graphs 

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BIRS, February 1, 2011

## Overview

- Matchings in graphs
- Number of $k$-matchings in bipartite graphs as permanents and haffnians
- Upper bounds on permanents and haffnians: results and conjectures.
- Lower bounds on permanents and haffnians: results and conjectures.
- Probabilistic methods


## Matchings

- $G=(V, E)$ undirected graph with vertices $V$, edges $E$.
- matching in $G: M \subseteq E$
no two edges in $M$ share a common endpoint.
- $e=(u, v) \in M$ is dimer
- $v$ not covered by $M$ is monomer.
- $M$ called monomer-dimer cover of $G$.
- $M$ is perfect matching $\Longleftrightarrow$ no monomers.
- $M$ is $k$-matching $\Longleftrightarrow \# M=k$.


## Generating matching polynomial

- $\phi(k, G)$ number of $k$-matchings in $G, \phi(0, G):=1$
- $\Phi_{G}(x):=\sum_{k} \phi(k, G) x^{k}$ matching generating polyn.
- roots of $\Phi_{G}(x)$ are real nonpositive Heilmann-Lieb 1972. Newton inequalities hold
- $\Phi_{G_{1} \cup G_{2}}(x)=\Phi_{G_{1}}(x) \Phi_{G_{2}}(x)$


## Examples:

$\Phi_{K_{2 r}}(x)=\sum_{k=0}^{r}\binom{2 r}{2 k} \frac{\prod_{j=0}^{k-1}\binom{2 k-2 j}{2}}{k!} x^{k}=\sum_{k=0}^{r} \frac{(2 r)!}{(2 r-2 k)!2^{k} k!} x^{k}$
$\Phi_{K_{r, r}}(x)=\sum_{k=0}^{r}\binom{r}{k}^{2} k!x^{k}$
$\mathcal{G}(r, 2 n) \supset \mathcal{G B}(r, 2 n)$ set of $r$-regular and regular bipartite graphs on $2 n$ vertices, respectively
$q K_{r, r} \in \mathcal{G B}(r, 2 r q)$ a union of $q$ copies of $K_{r, r}$.
$\Phi_{q K_{r, r}}=\Phi_{K_{r, r}}^{q}$

## Formulas for k-matchings in bipartite graphs

$G=(V, E)$ bipartite $V=V_{1} \cup V_{2}, E \subset V_{1} \times V_{2}$,
represented by bipartite adjacency matrix
$B(G)=B=\left[b_{i j}\right]_{i, j=1}^{m \times n} \in\{0,1\}^{m \times n}, \# V_{1}=m, V_{2}=n$.
Example: Any subgraph of $\mathbb{Z}^{d}$ is bipartite
CLAIM: $\phi(k, G)=\operatorname{perm}_{k}(B(G))$.
Prf: Suppose $n=\# V_{1}=\# V_{2}$.
Then permutation $\sigma:\langle n\rangle \rightarrow\langle n\rangle$ is a perfect match iff $\prod_{i=1}^{n} b_{i \sigma(i)}=1$.
The number of perfect matchings in $G$ is $\phi(n, G)=\operatorname{perm} B(G)$.
Computing $\phi(n, G)$ is \#P-complete problem Valiant 1979
For $G=(\langle 2 n\rangle, E)$ bipartite $G \in \mathcal{G B}(r, 2 n) \Longleftrightarrow \frac{1}{r} B(G) \in \Omega_{n} \Longleftrightarrow$ $G$ is a disjoint (edge) union of $r$ perfect matchings

## Matching on nonbipartite graphs

$$
\begin{aligned}
& G=(V, E),|V|=2 n, \\
& A(G)=\left[a_{i j}\right] \in S_{0}(2 n,\{0,1\}) \text {-adjacency matrix of } G \\
& \phi(n, G)=\operatorname{haf}(A(G))=\sum_{M \in \mathcal{M}\left(K_{2 n}\right)} \prod_{(i, j) \in M} a_{i j} \\
& \mathcal{M}\left(K_{2 n}\right) \text { the set of perfect matchings in } K_{2 n} \\
& \phi(k, G)=\operatorname{haf}_{k}(A(G))=\sum_{M \in \mathcal{M}_{k}\left(K_{2 n}\right)} \prod_{(i, j) \in M} a_{i j} \\
& \mathcal{M}_{k}\left(K_{2 n}\right) \text { the set of } k \text { matchings in } K_{2 n}
\end{aligned}
$$

Claim $\operatorname{perm}(A(G)) \geq \operatorname{haf}(A(G))^{2}$. Equality holds if $G$ is bipartite.

## Main problems

Find good estimates on
$s_{n}(k, r):=\min _{G \in \mathcal{G}(r, 2 n)} \phi(k, G) \leq t_{n}(k, r):=\min _{G \in \mathcal{G B}(r, 2 n)} \phi(k, G)$
$S_{n}(k . r):=\max _{G \in \mathcal{G}(r, 2 n)} \phi(k, G) \geq T_{n}(k, r):=\max _{G \in \mathcal{G B}(r, 2 n)} \phi(k, G)$
Completely solved case $r=2$ [7]
$S_{n}(k, 2)=T_{n}(k, 2)$ achieved only for $G=n K_{2,2}$ or $G=m K_{2,2} \cup C_{6}$.
$t_{n}(k, 2)$ achieved only for $C_{2 n}$
$s_{n}(k, 2)$ achieved only for $m C_{3}, m C_{3} \cup C_{4}$ or $m C_{3} \cup C_{5}$.

## The upper bound conjecture

$S_{q r}(k, r)=T_{q r}(k, r)=\phi\left(k, q K_{r, r}\right)$
$k=q r$ Follows from Bregman's inequality (see also [3]) $\operatorname{perm} \boldsymbol{A} \leq \prod_{i=1}^{n}\left(r_{i}!\right)^{\frac{1}{r_{i}}}$
$A=\left[a_{i j}\right] \in\{0,1\}^{n \times n} r_{i}=\sum_{j=1}^{n}, i=1, \ldots, n$
Egorichev-Alon-Friedland for $G=(V, E),|V|=2 n$
$\phi(n, G) \leq \prod_{v \in V}(\operatorname{deg}(v)!)^{\frac{1}{2 \operatorname{deg}(v)}}$
Equality holds iff $G$ a union of complete bipartite graphs
$S_{n}(k, r) \leq\binom{ 2 n}{2 k}(r!)^{\frac{k}{r}}$
$T_{n}(k, r) \leq \min \left(\binom{n}{k}^{2}(r!)^{\frac{k}{r}},\binom{n}{k} r^{k}\right)$
Friedland-Krop-Lundow-Markström [6]

## Asymptotic versions

$$
\begin{aligned}
& S a(p, r)=\lim \sup _{n_{j} \rightarrow \infty, \frac{k_{i}}{n_{j}} \rightarrow p \in[0,1]} \frac{\log s_{n_{j}\left(k_{j}, r\right)}^{2}}{2 n_{j}} \\
& \operatorname{Ta}(p, r)=\lim \sup _{n_{j} \rightarrow \infty, \frac{k_{j}}{n_{j}} \rightarrow p \in[0,1]} \frac{\log T_{n_{j}\left(k_{j}, r\right)}^{2 n_{j}}}{\log s_{n_{j}\left(k_{j}, r\right)}} \\
& \operatorname{sa}(p, r)=\liminf _{n_{j} \rightarrow \infty, \frac{k_{j}}{n_{j} \rightarrow p \in[0,1]}}^{22} \frac{\log n_{j}\left(k_{j}, r\right)}{2} \\
& \operatorname{ta}(p, r)=\liminf _{n_{j} \rightarrow \infty, \frac{k_{j}}{n_{j}} \rightarrow p \in[0,1]}^{2 n_{j}}
\end{aligned}
$$

Next slide gives the graphs of AUMC and the upper bounds for $T a(p, 4)$.

## $r=4$ upper bounds



Figure: $h_{K(4)}$-green, upp $_{4,1}$-blue, upp $_{4,2}$-orange

## The lower bounds: Bipartite case

$r^{k} \min _{C \in \Omega_{n}} \operatorname{perm}_{k} C \leq \phi(k, G)$ for any $G \in \mathcal{G B}(r, 2 n)$
$J_{n}=B\left(K_{n, n}\right)=[1]$ the incidence matrix of the complete bipartite graph $K_{n, n}$ on $2 n$ vertices
van der Waerden permanent conjecture 1926:

$$
\min _{C \in \Omega_{n}} \operatorname{perm} C=\operatorname{perm} \frac{1}{n} J_{n}\left(=\frac{n!}{n^{n}} \approx \sqrt{2 \pi n} e^{-n}\right)
$$

Tverberg permanent conjecture 1963:

$$
\min _{C \in \Omega_{n}} \operatorname{perm}_{k} C=\operatorname{perm}_{k} \frac{1}{n} J_{n}\left(=\binom{n}{k}^{2} \frac{k!}{n^{k}}\right)
$$

for all $k=1, \ldots, n$.

## History

- In 1979 Friedland showed the lower bound perm $C \geq e^{-n}$ for any $C \in \Omega_{n}$ following T. Bang's announcement 1976. This settled the conjecture of Erdös-Rényi on the exponential growth of the number of perfect matchings in $d \geq 3$-regular bipartite graphs 1968, Voorhoeve 1979.
- van der Waerden permanent conjecture was proved by Egorichev and Falikman 1981.
- Tverberg conjecture was proved by Friedland 1982
- 79 proof is tour de force according to Bang
- 81 proofs involve directly (Egorichev) and indirectly (Falikman) use of Alexandroff mixed volume inequalities with the conditions for the extremal matrix
- 82 proof uses methods of 81 proofs with extra ingredients
- There are new simple proofs using nonnegative hyperbolic polynomials e.g. Friedland-Gurvits 2008


## Lower matching bounds for bipartite graphs

Voorhoeve-1979 $(r=3)$ Schrijver-1998

$$
\phi(n, G) \geq\left(\frac{(r-1)^{r-1}}{r^{r-2}}\right)^{n} \quad \text { for } \quad G \in \mathcal{G B}(r, 2 n)
$$

Gurvits 2006: $A \in \Omega_{n}$, each column has at most $r$ nonzero entries:

$$
\begin{gathered}
\operatorname{perm} A \geq \frac{r!}{r^{r}}\left(\frac{r}{r-1}\right)^{r(r-1)}\left(\frac{r-1}{r}\right)^{(r-1) n} . \\
\text { Cor : } \phi(n, G) \geq \frac{r!}{r^{r}}\left(\frac{r}{r-1}\right)^{r(r-1)}\left(\frac{(r-1)^{r-1}}{r^{r-2}}\right)^{n}
\end{gathered}
$$

Con FKM 2006 : $\phi(k, G) \geq\binom{ n}{k}^{2}\left(\frac{n r-k}{n r}\right)^{n r-k}\left(\frac{k r}{n}\right)^{k}, G \in \mathcal{G B}(r, 2 n)$
F-G 2008 showed weaker inequalities

## Lower asymptotic bounds Friedland-Gurvits 2008

Thm: $r \geq 3, s \geq 1$ integers,
$B_{n} \in \Omega_{n}, n=1,2, \ldots$ each column of $B_{n}$ has at most $r$-nonzero entries. $k_{n} \in[0, n] \cap \mathbb{N}, n=1,2, \ldots, \lim _{n \rightarrow \infty} \frac{k_{n}}{n}=p \in(0,1]$ then

$$
\begin{aligned}
& \liminf _{n \rightarrow \infty} \frac{\log \operatorname{perm}_{k_{n}} B_{n}}{2 n} \geqslant \frac{1}{2}(-p \log p-2(1-p) \log (1-p))+ \\
& \frac{1}{2}(r+s-1) \log \left(1-\frac{1}{r+s}\right)-\frac{1}{2}(s-1+p) \log \left(1-\frac{1-p}{s}\right)
\end{aligned}
$$

Prf combines properties positive hyperbolic polynomials, capacity and the measure on $\mathcal{G}(r, 2 n)$

- Cor: $r$-ALMC holds for $p_{s}=\frac{r}{r+s}, s=0,1, \ldots$,
- Con: under Thm assumptions

$$
\liminf _{n \rightarrow \infty} \frac{\log \operatorname{perm}_{k_{n}} B_{n}}{2 n} \geqslant f(r, p)-\frac{p}{2} \log r
$$

- For $p_{s}=\frac{r}{r+s}, s=0,1, \ldots$, conjecture holds


## Lower bounds for matchings in regular non-bipartite graphs

Petersen's THM: A bridgeless cubic graph has a perfect match
Problem: Find the minimum of the biggest match in $\mathcal{G}(r, 2 n)$ for $r>2$.
Does every $G \in \mathcal{G}(r, 2 n)$ has a match of size $\left\lfloor\frac{2 n}{3}\right\rfloor$ ? (True for $r=2$.)
Esperet-Kardos-King-Král-Norine:
Every cubic bridgeless graph has at least $2^{\frac{|V|}{3656}}$ perfect matchings

## An analog the van der Waerden conjecture

THM Edmonds 1965: A symmetric doubly stochastic matrix with zero diagonal of even order $A=\left[a_{i j}\right]_{i, j=1}^{2 n}$ is a convex combination of symmetric permutation matrices with zero diagonal if and only if $\sum_{i, j \in S} a_{i j} \leq|S|-1$ for any odd subset $S \subset\{1, \ldots, 2 n\}\left({ }^{*}\right)$

Denote by $\Psi_{2 n}$ the subset of all symmetric doubly stochastic matrices of the above form

Problem: Find $\min \operatorname{haf}(A), A \in \Psi_{2 n}$
CONJECTURE: The minimum is achieved only for the matrix $\frac{1}{2 n-1} A\left(K_{2 n}\right)$
$\operatorname{haf}\left(\frac{1}{2 n-1} A\left(K_{2 n}\right)\right) \approx e^{-n} \sqrt{2 e}<\operatorname{haf}\left(\frac{1}{n} A\left(K_{n, n}\right)\right) \approx e^{-n} \sqrt{2 \pi n}$

## An analog the Tverberg conjecture

$\min _{A \in \Psi_{2 n}} \operatorname{haf}_{k}(A)=\operatorname{haf}_{k}\left(\frac{1}{2 n-1} A\left(K_{2 n}\right)\right)=$
$\binom{2 n}{2 k} \frac{1}{(2 n-1)^{k}} \frac{(2 k)!}{2^{k} k!}$
Note $\frac{1}{3} A(G) \in \Psi_{2 n}, G \in \mathcal{G}(3,2 n)$ iff there are at least 3 edges coming out of any the set of odd number of vertices (Improves significantly the lower bound [5] if gen. v.d. Waerden conj. true)

## Hyperbolic polynomials

THM: Good lower bounds hold for $\operatorname{haf}_{k}(A)$ if $A \in \Psi_{2 n} n-1 n-1$ eigenvalues of $A$ are nonpositive

Outline of proof: Fact $\mathbf{x}^{\top} \boldsymbol{A} \mathbf{x}$ is a hyperbolic polynomial for a nonnegative symmetric matrix iff $A$ has all but one nonpositive eigenvalues [4]
$\operatorname{haf}_{k} A=\left(2^{k} k!\right)^{-1} \sum_{1 \leq i_{1}<\ldots<i_{2 k} \leq 2 n} \frac{\partial^{2 k}}{\partial x_{i_{1}} \ldots \partial x_{i_{2 k}}}\left(\mathbf{x}^{\top} A \mathbf{x}\right)^{k}$
Use the arguments of [1] to show
haf $A \geq\left(\frac{n-1}{n}\right)^{(n-1) n} \geq e^{-n}$

## Probabilistic Methods I

$A=\left[a_{i j}\right] \in \mathbb{R}_{+}^{n \times n}, X(A):=\left[\sqrt{a_{i j}} x_{i j}\right]$,
$x_{i j}$ independent random variables $E\left(x_{i j}\right)=0, E\left(x_{i j}^{2}\right)=1$
$E\left((\operatorname{det} X(A))^{2}\right)=\operatorname{perm} A$ Godsil-Gutman 1981
Concentration results
A. Barvinok 1999 -

1. $x_{i j}$ real Gaussian $\Rightarrow \operatorname{det} X(A)^{2}$ with high probability
$\in\left[c^{n}\right.$ perm $A$, perm $\left.A\right] c \approx 0.28$
2. $x_{i j}$ complex Gaussian $E\left(\left|x_{i j}\right|^{2}\right)=1 \Rightarrow|\operatorname{det} X(A)|^{2}$ with high
probability $\in\left[c^{n}\right.$ perm $A$, perm $\left.A\right] c \approx 0.56$
3. $x_{i j}$ quaternion Gaussian $E\left(\left|x_{i j}\right|^{2}\right)=1 \Rightarrow|\operatorname{det} X(A)|^{2}$ with high probability $\in\left[c^{n}\right.$ perm $A$, perm $\left.A\right] c \approx 0.76$

Friedland-Rider-Zeitouni 2004:
$0<a \leq a_{i j} \leq b, x_{i j}$ real Gaussian $\Rightarrow \operatorname{det} X(A)^{2}$ with high probability $\in\left[\left(1-\varepsilon_{n}\right) \operatorname{perm} A, \operatorname{perm} A\right] \varepsilon_{n} \rightarrow 0$

## Probabilistic Methods II

FRZ results use concentration for $\log _{\varepsilon} \operatorname{det} Z(A)=\operatorname{tr} f(Z(A))$,
$Z(A)=X(A)^{\top} X(A) \succeq 0, f=\log _{\varepsilon} x=\log \max (x, \varepsilon)$.
or $\log _{\varepsilon} \operatorname{det} Y(A), Y(A)=\left[\begin{array}{cc}0 & X(A) \\ -X(A)^{\top} & 0\end{array}\right]$
$E\left(\operatorname{det}(\sqrt{t} I+Y(A))=\Phi_{G_{w}}(t), t \geq 0\right.$ matching polynomial for weighted graph induced by $A$

Thm: Concentration of $\log \operatorname{det}(\sqrt{t} I+Y(A))$ around expected value $\log \tilde{\Phi}_{G_{w}}(t), t>0$ which less $\log \Phi_{G_{w}}(t)$
$\frac{1}{n} \log \tilde{\Phi}\left(t, G_{\omega}\right) \leq \frac{1}{n} \log \Phi\left(t, G_{\omega}\right) \leq \frac{1}{n} \log \tilde{\Phi}\left(t, G_{\omega}\right)+\min \left(\frac{\max _{i, j}\left|a_{i j}\right|}{2 t}, 1.271\right)$
Meaning of $\tilde{\Phi}_{G_{w}}(t)$ ?

## Prob. Methods III-Matching in nonbipartite graphs

Make each undirected edge $(i, j)$ with weight $a_{i j}=a_{j i} \geq 0$ to two opposite directed edges with weights $\pm a_{i j}$ to obtain a skew symmetric matrix
$B=\left[b_{i j}\right] \in \mathbb{R}^{(2 n) \times(2 n)}, b_{i i}=0$
$Y(B)=\left[\operatorname{sign}\left(\mathrm{b}_{\mathrm{ij}}\right) \sqrt{\mid \mathrm{b}_{\mathrm{ij}}} \mathrm{x}_{\mathrm{ij}}\right], x_{i j}=x_{j i}, x_{12}, \ldots, x_{(2 n-1),(2 n)}$ i.r.v
$E\left(x_{i j}\right)=0, E\left(x_{i j}^{2}\right)=1$
$E(\operatorname{det} Y(B))=\operatorname{haf} A-$
total weight of weighted matchings in induced graph by $A$
$E\left(\operatorname{det}(\sqrt{t} I+Y(B))=\Phi_{G_{w}}(t)\right.$ - the weighted matching polynomial of $G(A)$.

All the results for bipartite graphs carry over to nonbipatite graphs

## Prob. Methods IV- FPRAS

Jerrum-Sinclair-Vigoda 2004: fully polynomial randomized approximation scheme (fpras) to compute perm $A$ A variation of MCMC method using rapidly mixed Markov chains converging to equilibrium point

The proofs do not carry over for nonbipartite graphs
Any \#P complete problem has fpras?

## Expected values of $k$-matchings for bipartite graphs

- Permutation $\sigma:\langle n r\rangle \rightarrow\langle n r\rangle$ induces $G(\sigma) \in \mathcal{G B}_{\text {mult }}(r, 2 n)$ and vice versa
$G(\sigma)=\left\{\left(i,\left\lceil\frac{\sigma((i-1) r+j)}{r}\right\rceil\right), j=1, \ldots, r, i=1, \ldots, n\right\} \subset\langle n\rangle \times\langle n\rangle$
number of different $\sigma$ inducing the same simple $G$ is $(r!)^{n}$
- $\mu$ probability measure on $\mathcal{G B}_{\text {mult }}(r, 2 n)$ :
$\mu(G(\sigma))=((n r)!)^{-1}$
- FKM 06:
$\left.\left.E(k, n, r):=\mathrm{E}(\phi(k, G))=\binom{n}{k}^{2} r^{2 k} k!(n r-k)!\right)(n r)!\right)^{-1}$, $k=1, \ldots, n$
- $1 \leq k_{l} \leq n_{l}, I=1, \ldots$, increasing sequences of integers s.t.
$\lim _{l \rightarrow \infty} \frac{k_{l}}{n_{l}}=p \in[0,1]$. Then

$$
\lim _{l \rightarrow \infty} \frac{\log E\left(k_{l}, n_{l}, r\right)}{2 n_{k}}=f(p, r)
$$

$f(p, r):=\frac{1}{2}\left(p \log r-p \log p-2(1-p) \log (1-p)+(r-p) \log \left(1-\frac{p}{r}\right)\right)$

## Similar results for non-bipartite graphs?

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