

# Lecture 5: Foliation minimal sets

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# Minimal sets

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Understanding minimal sets of foliations causes enough trouble.

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Choose a basepoint  $w_0 \in \mathcal{Z}$ . A minimal set  $\mathcal{Z}$  has *stable* shape if the pointed inclusions

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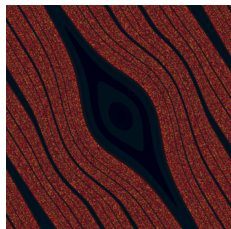
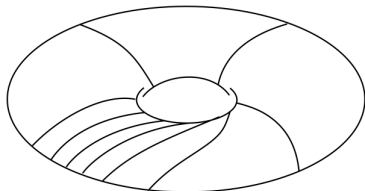
are homotopy equivalences for all  $k \gg 0$ .

The *shape fundamental group*:

$$\hat{\pi}_1(\mathcal{Z}, w_0) = \text{inv lim} \{ \pi_1(V_k, w_0) \leftarrow \pi_1(V_{k+1}, w_0) \}$$

# DA examples

The “DA process” converts the irrational slope foliation into an exceptional minimal set for a DA map:



These minimal sets are stable:  $\hat{\pi}_1(\mathcal{Z}, w_0) = \pi_1(\mathbb{T}^2 - \{w_1\}) \cong \mathbb{Z} * \mathbb{Z}$ .



# Foliated shape fundamental group

$\epsilon_L > 0$  is Lebesgue number for an open cover of  $M$  by foliation charts.

Given a leafwise path  $\tau_{w,z}: [0, 1] \rightarrow L_w$  suppose that  $d_M(w, z) < \delta < \epsilon_L$ .

Then  $\tau$  defines closed path in  $V_\delta = \{x \in M \mid d_M(x, \mathcal{Z}) < \delta\}$ , and holonomy map  $h_\tau$ .

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Such holonomy maps define the *shape dynamics* of  $\mathcal{Z}$ .

**Problem:** Given a minimal set  $\mathcal{Z}$ , what can we see about the “shape dynamics” of  $\mathcal{Z}$ .

# Hyperbolic minimal sets

**Definition:** A minimal set  $\mathcal{Z}$  is said to be *hyperbolic* if  $\mathcal{Z} \cap M_{\mathcal{H}} \neq \emptyset$ , and *uniformly hyperbolic* if  $\mathcal{Z} \subset M_{\mathcal{H}}$ .

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**Proposition:** If  $\mathcal{Z}$  is hyperbolic, then there exists hyperbolic *approximate* holonomy maps for  $\mathcal{Z}$ . That is, there exists closed orbits defined on arbitrarily small open neighborhoods of  $\mathcal{Z}$  along which the normal holonomy has contracting directions.

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**Problem:** Can we even begin to classify the stable exceptional minimal sets for  $C^1$ -foliations?

# Minimal sets defined by an IFS

Let  $K \subset \mathbb{R}^n$  be compact convex set, and  $h_\ell: K \rightarrow K$  affine maps.

Then pseudogroup generated by  $\{h_1, \dots, h_k\}$  on  $K \subset \mathbb{R}^n$  is called a Iterated Function System.

For  $J = (j_1, j_2, \dots, j_m)$  set  $h_J = h_{j_1} \circ \dots \circ h_{j_m}: K \rightarrow K$ .

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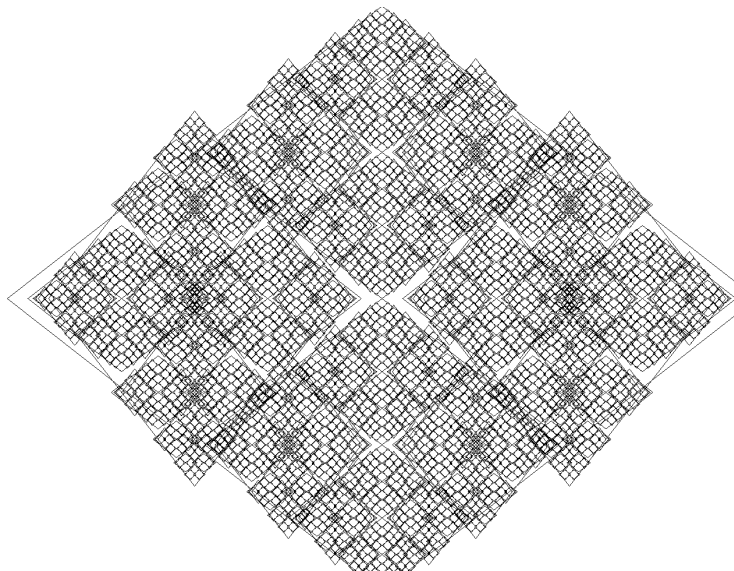
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This construction has many generalizations, and leads to a variety of interesting examples.

# A hyperbolic minimal set defined by an IFS



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*Proof:* If some holonomy transformation along  $L_w$  has a non-unitary eigenvalue, then it has a stable manifold.

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What other sorts of parabolic minimal sets are there?

**Proposition:** A parabolic minimal set has zero entropy.

**Question:** What are the zero entropy minimal sets?

# Solenoidal minimal sets

An  $n$ -dimensional solenoid is an inverse limit space

$$\mathcal{S} = \varprojlim \{p_{\ell+1}: L_{\ell+1} \rightarrow L_{\ell}\}$$

where for  $\ell \geq 0$ ,  $L_{\ell}$  is a closed, oriented,  $n$ -dimensional manifold, and  $p_{\ell+1}: L_{\ell+1} \rightarrow L_{\ell}$  are smooth, orientation-preserving proper covering maps.



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**Theorem:** [Clark-H 2008] Let  $\mathcal{F}_0$  be a  $C^r$ -foliation of codimension  $q \geq 2$  on a manifold  $M$ . Let  $L_0$  be a compact leaf with  $H^1(L_0; \mathbb{R}) \neq 0$ , and suppose that  $\mathcal{F}_0$  is a product foliation in some open neighborhood  $U$  of  $L_0$ . Then there exists a foliation  $\mathcal{F}$  on  $M$  which is  $C^r$ -close to  $\mathcal{F}_0$ , and  $\mathcal{F}$  has a solenoidal minimal set contained in  $U$  with base  $L_0$ . If  $\mathcal{F}_0$  is a distal foliation, then  $\mathcal{F}$  is also distal.

# Solenoidal minimal sets

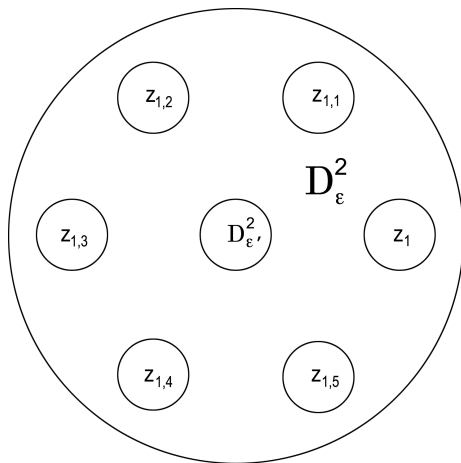
This is a consequence of a general construction:

**Theorem:** Let  $L_0$  be a closed oriented manifold of dimension  $n$ , with  $H^1(L_0, \mathbb{R}) \neq 0$ . Let  $q \geq 2$ ,  $r \geq 1$ , and  $\mathcal{F}_0$  denote the product foliation of  $M = L_0 \times \mathbb{D}^q$ . Then there exists a  $C^r$ -foliation  $\mathcal{F}$  of  $M$  which is  $C^r$ -close to  $\mathcal{F}_0$ , such that  $\mathcal{F}$  is a volume-preserving, distal foliation, and satisfies

- 1  $L_0$  is a leaf of  $\mathcal{F}$
- 2  $\mathcal{F} = \mathcal{F}_0$  near the boundary of  $M$
- 3  $\mathcal{F}$  has a minimal set  $\mathcal{S}$  which is a generalized solenoid with base  $L_0$
- 4 each leaf  $L \subset \mathcal{S}$  is a covering of  $L_0$ .

# Constructing solenoids

This is a consequence of a general construction:



# Problemos de la semana

Monday [3/5/2010]: Characterize the transversally hyperbolic invariant probability measures  $\mu_*$  for the foliation geodesic flow of a given foliation.

Tuesday [4/5/2010]: Classify the foliations with subexponential orbit complexity and distal transverse structure.

Wednesday [5/5/2010]: Find conditions on the geometry of a foliation such that exponential orbit growth implies positive entropy.

Thursday [6/5/2010]: Find conditions on the Lyapunov spectrum and invariant measures for the geodesic flow which implies positive entropy.

Friday [7/5/2010]: Characterize the exceptional minimal sets of zero entropy.

# References

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