

# Homogeneous matchbox manifolds

Steven Hurder

University of Illinois at Chicago  
[www.math.uic.edu/~hurder](http://www.math.uic.edu/~hurder)

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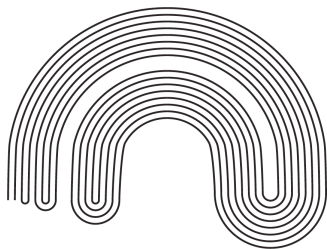
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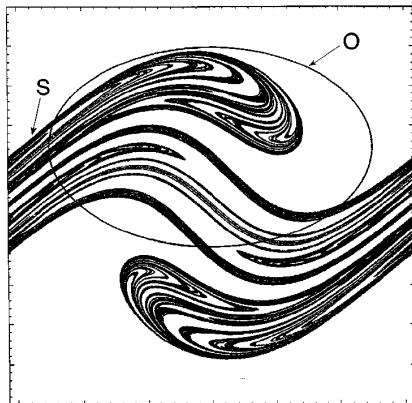
**Examples:** The circle  $\mathbb{S}^1$  is decomposable. The Knaster Continuum (or *bucket handle*) is indecomposable.



This is one-half of a Smale Horseshoe. The 2-solenoid over  $\mathbb{S}^1$  is a branched double-covering of it.

## Continua...

Indecomposable continua arise naturally as invariant closed sets of dynamical systems; e.g., attractors and minimal sets for diffeomorphisms.



[Picture courtesy Sanjuan, Kennedy, Grebogi & Yorke, "Indecomposable continua in dynamical systems with noise", Chaos 1997]

## A Conjecture ...

**Definition:** A space  $X$  is *homogeneous* if for every  $x, y \in X$  there exists a *homeomorphism*  $h: X \rightarrow X$  such that  $h(x) = y$ . Equivalently,  $X$  is homogeneous if the group  $\text{Homeo}(X)$  acts transitively on  $X$ .

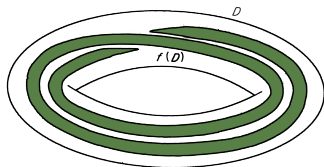
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**Theorem:** [Hagopian 1977] Let  $X$  be a homogeneous continuum such that every proper subcontinuum of  $X$  is an arc, then  $X$  is an inverse limit over the circle  $\mathbb{S}^1$ .



## Matchbox manifolds

**Question:** Let  $X$  be a homogeneous continuum such that every proper subcontinuum of  $X$  is an  $n$ -dimensional manifold, must  $X$  then be an inverse limit of normal coverings of compact manifolds?



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We rephrase the context:

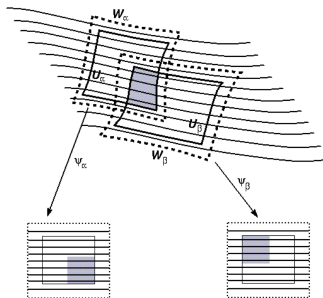
**Definition:** An  $n$ -dimensional *matchbox manifold* is a continuum  $\mathfrak{M}$  which is a foliated space with leaf dimension  $n$ , and codimension zero.

$\mathfrak{M}$  is a foliated space if it admits a covering  $\mathcal{U} = \{\varphi_i \mid 1 \leq i \leq \nu\}$  with foliated coordinate charts  $\varphi_i: U_i \rightarrow [-1, 1]^n \times \mathfrak{T}_i$ . The compact metric spaces  $\mathfrak{T}_i$  are totally disconnected  $\iff \mathfrak{M}$  is a matchbox manifold.

The leaves of  $\mathcal{F}$  are the path components of  $\mathfrak{M}$ .

# Smooth matchbox manifolds

**Definition:**  $\mathfrak{M}$  is a *smooth foliated space* if the leafwise transition functions for the foliation charts  $\varphi_i: U_i \rightarrow [-1, 1]^n \times \mathfrak{T}_i$  are  $C^\infty$ , and vary continuously on the transverse parameter in the leafwise  $C^\infty$ -topology.



# Automorphisms of matchbox manifolds

A “smooth matchbox manifold”  $\mathfrak{M}$  is analogous to a compact manifold, with the transverse dynamics of the foliation  $\mathcal{F}$  on the Cantor-like fibers  $\Sigma_i$  representing fundamental groupoid data. They naturally appear in:

- dynamical systems, as minimal sets & attractors
- geometry, as laminations
- complex dynamics, as universal Riemann surfaces
- algebraic geometry, as models for “stacks”.

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**Haefliger Question:** What are the topological invariants associated to a matchbox manifolds, and do they characterize them in some fashion?

# A solution to the Bing Question

**Theorem** [Clark & Hurder 2009] Let  $\mathfrak{M}$  be an orientable homogeneous smooth matchbox manifold. Then  $\mathfrak{M}$  is homeomorphic to a McCord (or normal) solenoid. In particular,  $\mathfrak{M}$  is minimal, so every leaf is dense.



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When the dimension of  $\mathfrak{M}$  is  $n = 1$  (that is,  $\mathcal{F}$  is defined by a flow) then this recovers the result of Hagopian, but the proof is much closer in spirit to the later proof of this case by [Aarts, Hagopian and Oversteegen 1991].

The case where  $\mathfrak{M}$  is given as a fibration over  $\mathbb{T}^n$  with totally disconnected fibers was proven in [Clark, 2002].

## Two applications

Here are two consequences of the Main Theorem:

**Corollary:** Let  $\mathfrak{M}$  be an orientable homogeneous  $n$ -dimensional smooth matchbox manifold, which is embedded in a closed  $(n + 1)$ -dimensional manifold. Then  $\mathfrak{M}$  is itself a manifold.

For  $\mathfrak{M}$  a homogeneous continuum with a non-singular flow, this was a question/conjecture of Bing, solved by [Thomas 1971]. Non-embedding for solenoids of dimension  $n \geq 2$  was solved by [Clark & Fokkink, 2002]. Proofs use shape theory and Alexander-Spanier duality for cohomology.

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**Corollary:** Let  $\mathfrak{M}$  be the tiling space associated to a tiling  $\mathcal{P}$  of  $\mathbb{R}^n$ . If  $\mathfrak{M}$  is homogeneous, then the tiling is periodic.

## Generalized solenoids

Let  $M_\ell$  be compact, orientable manifolds of dimension  $n \geq 1$  for  $\ell \geq 0$ , with orientation-preserving covering maps

$$\xrightarrow{p_{\ell+1}} M_\ell \xrightarrow{p_\ell} M_{\ell-1} \xrightarrow{p_{\ell-1}} \cdots \xrightarrow{p_2} M_1 \xrightarrow{p_1} M_0$$

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The  $p_\ell$  are called the *bonding maps* for the solenoid

$$\mathcal{S} = \varprojlim \{p_\ell: M_\ell \rightarrow M_{\ell-1}\} \subset \prod_{\ell=0}^{\infty} M_\ell$$

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Choose basepoints  $x_\ell \in M_\ell$  with  $p_\ell(x_\ell) = x_{\ell-1}$ . Set  $G_\ell = \pi_1(M_\ell, x_\ell)$ .

Then we have a descending chain of groups and injective maps

$$\xrightarrow{p_{\ell+1}} G_\ell \xrightarrow{p_\ell} G_{\ell-1} \xrightarrow{p_{\ell-1}} \cdots \xrightarrow{p_2} G_1 \xrightarrow{p_1} G_0$$

Set  $q_\ell = p_\ell \circ \cdots \circ p_1: M_\ell \longrightarrow M_0$ .

# McCord solenoids

**Definition:**  $\mathcal{S}$  is a *McCord solenoid* for some fixed  $\ell_0 \geq 0$ , for all  $\ell \geq \ell_0$  the image  $H_\ell$  of  $G_\ell$  in  $H_{\ell_0} \equiv G_{\ell_0}$  is a normal subgroup.

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**Remark:**  $\pi_1(M_0, x_0)$  nilpotent implies that  $\mathcal{S}$  is a McCord solenoid.

**Caution:** There are constructions of inverse limits  $\mathcal{S}$  as above where the bonding maps are not normal coverings, and the McCord condition does not hold, but  $\mathcal{S}$  is homogeneous [Fokkink & Oversteegen 2002].

Our technique of proof of the main theorem for such examples presents the inverse limit space  $\mathcal{S}$  as homeomorphic to a normal tower of coverings.

## Effros Theorem

Let  $X$  be a separable and metrizable topological space. Let  $G$  be a topological group with identity  $e$ .

For  $U \subseteq G$  and  $x \in X$ , let  $Ux = \{gx \mid g \in U\}$ .

**Definition:** An action of  $G$  on  $X$  is *transitive* if  $Gx = X$  for all  $x \in X$ .

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Alternate proofs of have been given by [Ancel 1987] and [van Mill 2004]. Remarkably, Van Mill shows that Effros Theorem is equivalent to the *Open Mapping Principle* of Functional Analysis. This appeared in the American Mathematical Monthly, pages 801–806, 2004.

## Interpretation for compact metric spaces

The metric on the group  $\text{Homeo}(X)$  for  $(X, d_X)$  a separable, locally compact, metric space is given by

$$d_H(f, g) := \sup \{d_X(f(x), g(x)) \mid x \in X\} \\ + \sup \{d_X(f^{-1}(x), g^{-1}(x)) \mid x \in X\}$$

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**Corollary:** Let  $X$  be a homogeneous compact metric space. Then for any given  $\epsilon > 0$  there is a corresponding  $\delta > 0$  so that if  $d_X(x, y) < \delta$ , there is a homeomorphism  $h: X \rightarrow X$  with  $d_H(h, id_X) < \epsilon$  and  $h(x) = y$ .

In particular, for a homogeneous foliated space  $\mathfrak{M}$  this conclusion holds.

This observation was used by [Aarts, Hagopian, & Oversteegen 1991] and [Clark 2002] in their study of matchbox manifolds.

## Holonomy groupoids

Let  $\varphi_i: U_i \rightarrow [-1, 1]^n \times \mathfrak{F}_i$  for  $1 \leq i \leq \nu$  be the covering of  $\mathfrak{M}$  by foliation charts. For  $U_i \cap U_j \neq \emptyset$  we obtain the holonomy transformation

$$h_{ji}: D(h_{ji}) \subset \mathfrak{F}_i \longrightarrow R(h_{ji}) \subset \mathfrak{F}_j$$

These transformations generate the holonomy pseudogroup  $\mathcal{G}_{\mathcal{F}}$  of  $\mathfrak{M}$ , modeled on the transverse metric space  $\mathfrak{F} = \mathfrak{F}_1 \cup \dots \cup \mathfrak{F}_{\nu}$

Typical element of  $\mathcal{G}_{\mathcal{F}}$  is a composition, for  $\mathcal{I} = (i_0, i_1, \dots, i_k)$  where  $U_{i_\ell} \cap U_{i_{\ell-1}} \neq \emptyset$  for  $1 \leq \ell \leq k$ ,

$$h_{\mathcal{I}} = h_{i_k i_{k-1}} \circ \dots \circ h_{i_1 i_0}: D(h_{\mathcal{I}}) \subset \mathfrak{F}_{i_0} \longrightarrow R(h_{\mathcal{I}}) \subset \mathfrak{F}_{i_k}$$

$x \in \mathfrak{F}$  is a *point of holonomy* for  $\mathcal{G}_{\mathcal{F}}$  if there exists some  $h_{\mathcal{I}} \in \mathcal{G}_{\mathcal{F}}$  with  $x \in D(h_{\mathcal{I}})$  such that  $h_{\mathcal{I}}(x) = x$  and the germ of  $h_{\mathcal{I}}$  at  $x$  is non-trivial.

We say  $\mathcal{F}$  is *without holonomy* if there are no points of holonomy.



## Equicontinuous matchbox manifolds

**Definition:**  $\mathfrak{M}$  is an *equicontinuous matchbox manifold* if it admits some covering by foliation charts as above, such that for all  $\epsilon > 0$ , there exists  $\delta > 0$  so that for all  $h_{\mathcal{I}} \in \mathcal{G}_{\mathcal{F}}$  we have

$$x, y \in D(h_{\mathcal{I}}) \text{ with } d_{\mathfrak{X}}(x, y) < \delta \implies d_{\mathfrak{X}}(h_{\mathcal{I}}(x), h_{\mathcal{I}}(y)) < \epsilon$$

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The proof relies on one basic observation and extensive technical analysis.

**Lemma:** Let  $h: \mathfrak{M} \rightarrow \mathfrak{M}$  be a homeomorphism. Then  $h$  maps the leaves of  $\mathcal{F}$  to leaves of  $\mathcal{F}$ . That is, every  $h \in \text{Homeo}(\mathfrak{M})$  is foliation-preserving.

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Proof: The leaves of  $\mathcal{F}$  are the path components of  $\mathfrak{M}$ .

**Theorem:** An equicontinuous matchbox manifold  $\mathfrak{M}$  is minimal.

# Three Structure Theorems

We can now state the three main structure theorems.

**Theorem 1:** Let  $\mathfrak{M}$  be an equicontinuous matchbox manifold without holonomy. Then  $\mathfrak{M}$  is homeomorphic to a solenoid

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**Theorem 2:** Let  $\mathfrak{M}$  be a homogeneous matchbox manifold. Then the bonding maps above can be chosen so that  $q_\ell: M_\ell \rightarrow M_0$  is a normal covering for all  $\ell \geq 0$ . That is,  $\mathcal{S}$  is McCord.

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**Theorem 3:** Let  $\mathfrak{M}$  be a homogeneous matchbox manifold. Then there exists a clopen subset  $V \subset \mathfrak{X}$  such that the restricted groupoid  $\mathcal{H}(\mathcal{F}, V) \equiv \mathcal{G}_{\mathcal{F}}|_V$  is a group, and  $\mathfrak{M}$  is homeomorphic to the suspension of the action of  $\mathcal{H}(\mathcal{F}, V)$  on  $V$ . Thus, the fibers of the map  $q_\infty: \mathfrak{M} \rightarrow M_0$  are homeomorphic to a profinite completion of  $\mathcal{H}(\mathcal{F}, V)$ .

## Coding & Quasi-Tiling

Let  $\mathfrak{M}$  be an equicontinuous matchbox manifold without holonomy.

Fix basepoint  $w_0 \in \text{int}(\mathfrak{T}_1)$  with corresponding leaf  $L_0 \subset \mathfrak{M}$ .

The equivalence relation on  $\mathfrak{T}$  induced by  $\mathcal{F}$  is denoted  $\Gamma$ , and we have the following subsets:

$$\Gamma_W = \{(w, w') \mid w \in W, w' \in \mathcal{O}(w)\}$$

$$\Gamma_W^W = \{(w, w') \mid w \in W, w' \in \mathcal{O}(w) \cap W\}$$

$$\Gamma_0 = \{w' \in W \mid (w_0, w') \in \Gamma_W^W\} = \mathcal{O}(w_0) \cap W$$

Note that  $\Gamma_W^W$  is a groupoid, with object space  $W$ . The assumption that  $\mathcal{F}$  is without holonomy implies  $\Gamma_W^W$  is equivalent to the groupoid of germs of local holonomy maps induced from the restriction of  $\mathcal{G}_{\mathcal{F}}$  to  $W$ .



## Equicontinuity & uniform domains

**Proposition:** Let  $\mathfrak{M}$  be an equicontinuous matchbox manifold without holonomy. Given  $\epsilon_* > 0$ , then there exists  $\delta_* > 0$  such that:

- for all  $(w, w') \in \Gamma_W^W$  the corresponding holonomy map  $h_{w,w'}$  satisfies  $D_{\mathfrak{I}}(w, \delta_*) \subset D(h_{w,w'})$
- $d_{\mathfrak{I}}(h_{w,w'}(z), h_{w,w'}(z')) < \epsilon_*$  for all  $z, z' \in D_{\mathfrak{I}}(w, \delta_*)$ .

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- $d_{\mathfrak{T}}(h_{w,w'}(z), h_{w,w'}(z')) < \epsilon_*$  for all  $z, z' \in D_{\mathfrak{T}}(w, \delta_*)$ .

Let  $W \subset \mathfrak{T}_1$  be a clopen subset with  $w_0 \in W$ . Decompose  $W$  into clopen subsets of diameter  $\epsilon_\ell > 0$ ,

$$W = W_1^\ell \cup \dots \cup W_{\beta_\ell}^\ell$$

Set  $\eta_\ell = \min \left\{ d_{\mathfrak{T}}(W_i^\ell, W_j^\ell) \mid 1 \leq i \neq j \leq \beta_\ell \right\} > 0$  and let  $\delta_\ell > 0$  be the constant of equicontinuity as above.

# The orbit coding function

- The code space  $\mathcal{C}_\ell = \{1, \dots, \beta_\ell\}$
- For  $w \in W$ , the  $\mathcal{C}_w^\ell$ -code of  $u \in W$  is the function  $C_{w,u}^\ell: \Gamma_w \rightarrow \mathcal{C}_\ell$  defined as: for  $w' \in \Gamma_w$  set  $C_{w,u}^\ell(w') = i$  if  $h_{w,w'}(u) \in W_i^\ell$ .
- Define  $V^\ell = \left\{ u \in W_1^\ell \mid C_{w_0,u}^\ell(w') = C_{w_0,w_0}^\ell(w') \text{ for all } w' \in \Gamma_0 \right\}$

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**Lemma:** If  $u, v \in W$  with  $d_{\mathbb{T}}(u, v) < \delta_\ell$  then  $C_{w,u}^\ell(w') = C_{w,v}^\ell(w')$  for all  $w' \in \Gamma_w$ . Hence, the function  $C_w^\ell(u) = C_{w,u}^\ell$  is locally constant in  $u$ .

Thus,  $V^\ell$  is open, and the translates of this set define a  $\Gamma_0$ -invariant clopen decomposition of  $W$ .

# The coding decomposition

The Thomas tube  $\tilde{\mathfrak{M}}_\ell$  for  $\mathfrak{M}$  is the “saturation” of  $V^\ell$  by  $\mathcal{F}$ .

The saturation is necessarily all of  $\mathfrak{M}$ . But the tube structure comes with a vertical fibration, which allows for collapsing the tube in foliation charts.

This is the basis of the main technical result:

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This is the basis of the main technical result:

**Theorem:** For  $\text{diam}(V^\ell)$  sufficiently small, there is a quotient map  $\Pi_\ell: \tilde{\mathfrak{M}}_\ell \rightarrow M_\ell$  whose fibers are the transversal sections isotopic to  $V^\ell$ , and whose base is a compact manifold. This yields compatible maps  $\Pi_\ell: \mathfrak{M} \rightarrow M_\ell$  which induce the solenoid structure on  $\mathfrak{M}$ .

Furthermore, if  $\mathfrak{M}$  is homogeneous, then  $\text{Homeo}(\mathfrak{M})$  acts transitively on the fibers of the tower induced by the maps  $\Pi_\ell: \mathfrak{M} \rightarrow M_\ell$ , hence the tower is normal.

## Leeuwenbrug Conjecture

**Conjecture:** Let  $\mathfrak{M}$  be an equicontinuous matchbox manifold, and  $V \subset \mathfrak{T}$  a clopen set. Then  $\mathfrak{M}$  is characterized up to homeomorphism by the restricted groupoid  $\mathcal{H}(\mathcal{F}, V) \equiv \mathcal{G}_{\mathcal{F}}|V$  and any partial quotient  $M_\ell$ .

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