# Order 1 local invariants of maps between 3-manifolds 

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## History

Vassiliev
finite order invariants of knots

Arnold
semi-local invariants of order 1 of plane curves and fronts

VG, Houston local order 1 invariants Nowik of maps of surfaces into $\mathbb{R}^{3}$
$\begin{array}{ll}\text { Ohmoto } & \text { local order } 1 \text { invariants } \\ \text { Aicardi } & \text { of maps of surfaces into } \mathbb{R}^{2}\end{array}$

Oset Sinha local order 1 invariants Romero Fuster of maps of 3-manifolds into $\mathbb{R}^{3}$, non-oriented

## Example: maps of oriented surfaces into $\mathbb{R}^{3}$

3 integer invariants:
numbers of triple and pinch points, and a self-linking number of a lifting of the image to $S T^{*} \mathbb{R}^{3}$

The latter counts a generalised number of inverse self-tangencies of the image in generic homotopies between maps

## mod2:

4th invariant, counting similar number of direct self-tangencies
Non-coorientable direct self-tangency stratum:


## Today

Local order 1 invariants of maps between oriented 3-manifolds, of any rank

## Main result

There are 8 linearly independent invariants over $\mathbb{Z}$ and 12 over $\mathbb{Z}_{2}$

## Generic critical value sets

$f: M^{3} \rightarrow N^{3} \quad$ Critical values: $\mathcal{C} \subset N$

Smooth sheets of $\mathcal{C}$ and their transversal intersections

$A_{1}$

$A_{1}^{2}$

$A_{1}^{3}$

Co-orientation of the regular part of $\mathcal{C}$ : towards its side with more local preimages

$A_{2}$

$A_{2} A_{1}$

Cuspidal edges: positive and negative according to the local degree of the map being $\pm 1$ Hence signs for swallowtails:

$A_{3}^{+}$

$A_{3}^{-}$

## Classification of integer-valued invariants

## Theorem

The space of all integer-valued order 1 local invariants of maps of a compact 3 -manifold to $\mathbb{R}^{3}$ is 8 -dimensional. It is generated by: $I_{s}$, half the number of all swallowtails;
$I_{s}^{-}$, half the difference between the numbers of positive and negative swallowtails;
$I_{t}$, the number of triple points $A_{1}^{3}$;
$I_{A_{2} A_{1}}$, half the number of intersection points of the cuspidal edge with the regular part of $\mathcal{C}$;
$I_{A_{2} A_{1}}^{-}$, half the difference between the numbers of intersections of the regular part of $\mathcal{C}$ with positive and negative cuspidal edges;
$I_{\chi}$, the Euler characteristic of $\mathcal{C}$;
$I_{\ell}$, the linking invariant (Oset Sinha, Romero Fuster);
$I_{D_{4}}$, the linking number of the image of the 1-jet extension of a map with $\Sigma^{2} \subset J^{1}\left(M, \mathbb{R}^{3}\right)$.

## Definition of $I_{D_{4}}$

In $J^{1}\left(M, \mathbb{R}^{3}\right)$, take the set $\Sigma^{2}$ of all jets with corank 2 linear parts.
Fix a generic map $f_{0}: M \rightarrow \mathbb{R}^{3}$.
For any other generic $f_{1}$, consider its generic homotopy $\left\{f_{t}\right\}_{0 \leq t \leq 1}$. The images of the extensions $j^{1} f_{t}$ define a 4-dimensional film $\varphi \subset J^{1}(M, N)$ which meets $\Sigma^{2}$ at isolated points.
We have the intersection index which may be interpreted as a result of integration:

$$
\left\langle\varphi, \Sigma^{2}\right\rangle=I_{D_{4}}\left(f_{1}\right)-I_{D_{4}}\left(f_{0}\right),
$$

where the last term is an arbitrary fixed number.

## Corank 2 bifurcations in 1-parameter families

$$
D_{4}^{- \pm}:\left( \pm\left(x^{2}-y^{2}\right)+z x-\lambda y, x y, z\right), \text { of local degree } \pm 2
$$



By this transition we co-orient the $D_{4}^{-+}$stratum.
The co-orientation of $D_{4}^{--}$is in the opposite direction.
Both co-orientations correspond to the increase of the deformation parameter $\lambda$.
$D_{4}^{+ \pm}:\left(x^{2}+y^{2}+z y+\lambda x, \pm x y, z\right)$, where $\pm$ is the edge sign for $\lambda=0$ :


Deformation:


Half of the right surface:

Co-orientation:

by the sign of the swallowtails, equivalently by the increase of $\lambda$

## Classification of mod2 invariants

## Theorem

The space of all mod2 order 1 local invariants of maps of a compact 3 -manifold to $\mathbb{R}^{3}$ has rank 12. It is generated by: $I_{s}$, the number of positive swallowtails (same as negative)

$I_{s}^{-}$, half the difference between the numbers of negative and positive swallowtails

$I_{t}$, the number of triple points

$I_{A_{2} A_{1}}^{-}$, half the difference between the numbers of intersections of the regular part of $\mathcal{C}$ with positive and negative cuspidal edges

$I_{D_{4}}$, the linking number as earlier (dual to $D_{4}$ )
$I_{e}$, the number of connected components of the edge

$I_{i}$, counts the number of inverse self-tangencies of the regular part of $\mathcal{C}$ in homotopies
$I_{d}$, similar generalised count of direct self-tangencies
$I_{q}$, generalised count of quadruple points in homotopies
$I_{10}$, dual to

$I_{11}$, dual to


$l_{12}$, dual to



## We have mod2

$$
\begin{aligned}
& I_{A_{2} A_{1}}=I_{A_{2} A_{1}}^{-}+I_{t} \\
& I_{\chi}=I_{s}+I_{s}^{-}+I_{t} \\
& I_{\ell}=I_{e}+I_{A_{2} A_{1}}+I_{s}^{-}
\end{aligned}
$$

## Relations coming from codimension 2 singularities

Degenerate tangency of two smooth sheets:



Degenerate $D_{4}^{+}$:
$(x, y, z) \mapsto\left(x^{2}+z y, y^{2}+x\left( \pm x^{2} \pm z^{2}+\lambda_{1} z+\lambda_{2}\right), z\right)$


$$
D_{5}^{ \pm}:(x, y, z) \mapsto\left( \pm x^{2}+y^{3}+y^{2}\left( \pm z+\alpha x y+\lambda_{1}\right)+\lambda_{2} x+z y, x y, z\right)
$$



