Monodromy and spectrum of quasi-ordinary surface singularities

Mirel Caibar, Gary Kennedy, Lee McEwan

2009

Mirel Caibar, Gary Kennedy, Lee McEwan Monodromy and spectrum of q.o. surfaces

- An irreducible germ of algebraic surface is quasi-ordinary if one can find a finite map to a nonsingular surface germ, whose discriminant locus is a normal crossings divisor.
- Thus we can find local coordinates so that the surface is the zero locus of

$$f(x, y, z) = z^d + g_1(x, y)z^{d-1} + \dots + g_d(x, y),$$

and so that the discriminant locus of projection is the pair of coordinate lines in the *x*-*y* plane.

- An irreducible germ of algebraic surface is quasi-ordinary if one can find a finite map to a nonsingular surface germ, whose discriminant locus is a normal crossings divisor.
- Thus we can find local coordinates so that the surface is the zero locus of

$$f(x, y, z) = z^d + g_1(x, y)z^{d-1} + \dots + g_d(x, y),$$

and so that the discriminant locus of projection is the pair of coordinate lines in the *x*-*y* plane.

•
$$z = x^{1/2}y + xy^{3/2}$$



- We want to calculate certain invariants of a quasi-ordinary surface, and to understand the relationships among them. The invariants include:
 - (1) The monodromy of the Milnor fibration, as recorded in the graded characteristic function

$$\frac{\det(tI-m_0)\det(tI-m_2)}{\det(tI-m_1)}$$

(where *m_i* is monodromy on *H_i* of Milnor fiber).(2) The spectrum of the same fibration.

・ 同 ト ・ ヨ ト ・ ヨ ト

- We want to calculate certain invariants of a quasi-ordinary surface, and to understand the relationships among them. The invariants include:
 - (1) The monodromy of the Milnor fibration, as recorded in the graded characteristic function

$$\frac{\det(tI-m_0)\det(tI-m_2)}{\det(tI-m_1)}$$

(where *m_i* is monodromy on *H_i* of Milnor fiber).2) The spectrum of the same fibration.

伺い イヨト イヨト

- We want to calculate certain invariants of a quasi-ordinary surface, and to understand the relationships among them. The invariants include:
 - (1) The monodromy of the Milnor fibration, as recorded in the graded characteristic function

$$\frac{\det(tI-m_0)\det(tI-m_2)}{\det(tI-m_1)}$$

伺い イヨト イヨト

(where *m_i* is monodromy on *H_i* of Milnor fiber).(2) The spectrum of the same fibration.

- Consider a transverse slice of the surface by *x* = *C*, where *C* is sufficiently small. This is a plane curve germ, with its own Milnor fibration, which we call the horizontal fibration.
- Continuing our list of invariants:

$$\mathbf{H}(t) = \frac{\det(tI - h_0)}{\det(tI - h_1)}.$$

伺い イヨト イヨト

(4) The spectrum of the same fibration.

(5,6) Same things for transverse slice y = C.

- Consider a transverse slice of the surface by *x* = *C*, where *C* is sufficiently small. This is a plane curve germ, with its own Milnor fibration, which we call the horizontal fibration.
- Continuing our list of invariants:

$$\mathbf{H}(t) = \frac{\det(tI - h_0)}{\det(tI - h_1)}.$$

伺下 イヨト イヨト

(4) The spectrum of the same fibration. (5, 6)

(5,6) Same things for transverse slice y = C.

- Consider a transverse slice of the surface by *x* = *C*, where *C* is sufficiently small. This is a plane curve germ, with its own Milnor fibration, which we call the horizontal fibration.
- Continuing our list of invariants:

$$\mathbf{H}(t) = \frac{\det(tI - h_0)}{\det(tI - h_1)}.$$

伺下 イヨト イヨト

(4) The spectrum of the same fibration.(5,6) Same things for transverse slice y = C

- Consider a transverse slice of the surface by *x* = *C*, where *C* is sufficiently small. This is a plane curve germ, with its own Milnor fibration, which we call the horizontal fibration.
- Continuing our list of invariants:

$$\mathbf{H}(t) = \frac{\det(tI - h_0)}{\det(tI - h_1)}.$$

周 ト イ ヨ ト イ ヨ

(4) The spectrum of the same fibration.

(5,6) Same things for transverse slice y = C.

- For the horizontal fibration we fix the value of x while varying the parameter in $f(x, y, z) = \epsilon$. Alternatively we can fix ϵ and let x move around a small circle, obtaining the vertical fibration.
- We consider:

$$\mathbf{V}(t) = \frac{\det(tI - v_0)}{\det(tI - v_1)}.$$

伺下 イヨト イヨト

- For the horizontal fibration we fix the value of x while varying the parameter in $f(x, y, z) = \epsilon$. Alternatively we can fix ϵ and let x move around a small circle, obtaining the vertical fibration.
- We consider:

$$\mathbf{V}(t) = \frac{\det(tI - v_0)}{\det(tI - v_1)}.$$

伺下 イヨト イヨト

- For the horizontal fibration we fix the value of x while varying the parameter in $f(x, y, z) = \epsilon$. Alternatively we can fix ϵ and let x move around a small circle, obtaining the vertical fibration.
- We consider:

$$\mathbf{V}(t) = \frac{\det(tI - v_0)}{\det(tI - v_1)}.$$

伺き くほき くほき

- For the horizontal fibration we fix the value of x while varying the parameter in $f(x, y, z) = \epsilon$. Alternatively we can fix ϵ and let x move around a small circle, obtaining the vertical fibration.
- We consider:

$$\mathbf{V}(t) = \frac{\det(tI - v_0)}{\det(tI - v_1)}.$$

伺き くほき くほとう

• Since the singularity is not isolated, it is natural to compare the surface to a surface in its Yomdin series:

$$f + L^k = 0,$$

where L is a general linear form and k is large.

• We consider:

(11,12) The monodromy and spectrum of a surface in the Yomdin series.

伺 ト く ヨ ト く ヨ ト

• Since the singularity is not isolated, it is natural to compare the surface to a surface in its Yomdin series:

$$f + L^k = 0,$$

where L is a general linear form and k is large.

• We consider:

(11,12) The monodromy and spectrum of a surface in the Yomdin series.

伺 ト く ヨ ト く ヨ ト

• Since the singularity is not isolated, it is natural to compare the surface to a surface in its Yomdin series:

$$f + L^k = 0,$$

where L is a general linear form and k is large.

• We consider:

(11,12) The monodromy and spectrum of a surface in the Yomdin series.

伺き くほき くほき

Calculations

• Without changing the local topology, we may find local coordinates so that each branch is parametrized by

$$\zeta = \sum_{i=1}^{e} x^{\lambda_i} y^{\mu}$$

with $\lambda_i \ge \lambda_{i-1}$, $\mu_i \ge \mu_{i-1}$, and (λ_i, μ_i) not contained in the group generated by the previous pairs. (Abhyankar-Lipman) Each (λ_i, μ_i) is called a characteristic pair.

• How do the characteristic pairs determine the invariants?

Calculations

• Without changing the local topology, we may find local coordinates so that each branch is parametrized by

$$\zeta = \sum_{i=1}^{e} x^{\lambda_i} y^{\mu}$$

with $\lambda_i \geq \lambda_{i-1}$, $\mu_i \geq \mu_{i-1}$, and (λ_i, μ_i) not contained in the group generated by the previous pairs. (Abhyankar-Lipman) Each (λ_i, μ_i) is called a characteristic pair.

• How do the characteristic pairs determine the invariants?

周 ト イ ヨ ト イ ヨ ト

• An example: a recursion for vertical monodromy. Given

$$\zeta = \sum_{i=1}^{e} x^{\lambda_i} y^{\mu_i}$$

• ... its truncation is

$$\zeta_1 = x^{\lambda_1} y^{\mu_1} = x^{\frac{a}{mb}} y^{\frac{n}{m}},$$

(where *a* and *b* are relatively prime).

• Let r and s be smallest nonnegative integers so that

$$\left(\begin{array}{cc}m&n\\r&s\end{array}\right)$$

通とくほとくほど

has determinant 1.

• An example: a recursion for vertical monodromy. Given

$$\zeta = \sum_{i=1}^{e} x^{\lambda_i} y^{\mu_i}$$

• ... its truncation is

$$\zeta_1 = x^{\lambda_1} y^{\mu_1} = x^{\frac{a}{mb}} y^{\frac{n}{m}},$$

(where *a* and *b* are relatively prime).

• Let r and s be smallest nonnegative integers so that

$$\left(\begin{array}{cc}m&n\\r&s\end{array}\right)$$

has determinant 1.

• An example: a recursion for vertical monodromy. Given

$$\zeta = \sum_{i=1}^{e} x^{\lambda_i} y^{\mu_i}$$

• ... its truncation is

$$\zeta_1 = x^{\lambda_1} y^{\mu_1} = x^{\frac{a}{mb}} y^{\frac{n}{m}},$$

(where *a* and *b* are relatively prime).

• Let r and s be smallest nonnegative integers so that

$$\left(\begin{array}{cc}m&n\\r&s\end{array}\right)$$

has determinant 1.



$$\zeta = \sum_{i=1}^{e} x^{\lambda_i} y^{\mu_i}$$

• ... its derived singularity is

$$\zeta' = \sum_{i=1}^{e-1} x^{\lambda'_i} y^{\mu'_i},$$

where the new exponents are computed by

$$\mu'_{i} = m(\mu_{i+1} - \mu_{1} + mb\mu_{1}) \lambda'_{i} = b(\lambda_{i+1} - \lambda_{1} + mb\lambda_{1} + r\mu'_{i}\lambda_{1})$$

ヘロト 人間 とくほ とくほとう

Given

$$\zeta = \sum_{i=1}^{e} x^{\lambda_i} y^{\mu_i}$$

• ... its derived singularity is

$$\zeta' = \sum_{i=1}^{e-1} x^{\lambda'_i} y^{\mu'_i},$$

where the new exponents are computed by

$$\mu'_i = m(\mu_{i+1} - \mu_1 + mb\mu_1)$$

$$\lambda'_i = b(\lambda_{i+1} - \lambda_1 + mb\lambda_1 + r\mu'_i\lambda_1)$$

ヘロト 人間 とくほ とくほとう

Given

$$\zeta = \sum_{i=1}^{e} x^{\lambda_i} y^{\mu_i}$$

• ... its derived singularity is

$$\zeta' = \sum_{i=1}^{e-1} x^{\lambda'_i} y^{\mu'_i},$$

where the new exponents are computed by

$$\mu'_{i} = m(\mu_{i+1} - \mu_{1} + mb\mu_{1}) \\ \lambda'_{i} = b(\lambda_{i+1} - \lambda_{1} + mb\lambda_{1} + r\mu'_{i}\lambda_{1}).$$

Example:

•
$$\zeta = x^{1/2}y^{3/2} + x^{1/2}y^{7/4} + x^{2/3}y^{11/6}$$

• $\zeta' = x^{17/4}y^{13/2} + x^{9/2}y^{20/3}$
• $\zeta'' = x^{1438/3}y^{157/3}$

◆□▶ ◆舂▶ ◆巻▶ ◆巻▶

-2

Example:

•
$$\zeta = x^{1/2}y^{3/2} + x^{1/2}y^{7/4} + x^{2/3}y^{11/6}$$

• $\zeta' = x^{17/4}y^{13/2} + x^{9/2}y^{20/3}$
• $\zeta'' = x^{1438/3}y^{157/3}$

ヘロト 人間 とくほとくほとう

-2

Example:

•
$$\zeta = x^{1/2}y^{3/2} + x^{1/2}y^{7/4} + x^{2/3}y^{11/6}$$

• $\zeta' = x^{17/4}y^{13/2} + x^{9/2}y^{20/3}$
• $\zeta'' = x^{1438/3}y^{157/3}$

ヘロト 人間 とくほ とくほとう

æ

• Let V₁ and V' denote the vertical monodromy of the truncation and the derived singularity. Let d' denote the number of sheets of the derived singularity.

• Then

$$\mathbf{V}(t) = \frac{(\mathbf{V}_1(t))^{d'} \mathbf{V}'(t^b)}{(t^b - 1)^{d'}}.$$

- Let V₁ and V' denote the vertical monodromy of the truncation and the derived singularity. Let d' denote the number of sheets of the derived singularity.
- Then

$$\mathbf{V}(t) = \frac{(\mathbf{V}_1(t))^{d'}\mathbf{V}'(t^b)}{(t^b-1)^{d'}}.$$

Relationships among invariants

- An example: the formula of Steenbrink and Saito, worked out for $z^n = x^a y^b$ by McEwan.
- The horizontal and vertical monodromies commute; thus there is a common eigenbasis, and thus a pairing

 ${\text{horizontal eigenvalues}} \leftrightarrow {\text{vertical eigenvalues}}.$

Relationships among invariants

- An example: the formula of Steenbrink and Saito, worked out for $z^n = x^a y^b$ by McEwan.
- The horizontal and vertical monodromies commute; thus there is a common eigenbasis, and thus a pairing

 $\{\text{horizontal eigenvalues}\} \leftrightarrow \{\text{vertical eigenvalues}\}.$

• McEwan's pairing (writing eigenvalues as elements of \mathbf{Q}/\mathbf{Z}):

$$\frac{i}{b} + \frac{j}{n} \leftrightarrow -\frac{ai}{b}$$
 (for $1 \le i \le b - 1$ and $1 \le j \le n - 1$).

- The horizontal spectral numbers are $h_{ij} = \frac{i}{b} + \frac{j}{n} 1$. Let v_{ij} be the fractional part of $-\frac{ai}{b}$ (but use 1 if it's an integer).
- By Steenbrink and Saito,

$$\operatorname{Sp}(f+L^k) - \operatorname{Sp}(f) = \frac{1-t}{1-t^{1/k}} \cdot \left[\sum t^{h_{ij}+v_{ij}/k} + \text{same for other slice} \right]$$

A = A = A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A
 A

• McEwan's pairing (writing eigenvalues as elements of \mathbf{Q}/\mathbf{Z}):

$$\frac{i}{b} + \frac{j}{n} \leftrightarrow -\frac{ai}{b}$$
 (for $1 \le i \le b - 1$ and $1 \le j \le n - 1$).

• The horizontal spectral numbers are $h_{ij} = \frac{i}{b} + \frac{j}{n} - 1$. Let v_{ij} be the fractional part of $-\frac{ai}{b}$ (but use 1 if it's an integer).

• By Steenbrink and Saito,

 $\operatorname{Sp}(f+L^k)-\operatorname{Sp}(f) = \frac{1-t}{1-t^{1/k}} \cdot \left[\sum t^{h_{ij}+\nu_{ij}/k} + \text{same for other slice}\right].$

ゆう くぼう くぼう

• McEwan's pairing (writing eigenvalues as elements of \mathbf{Q}/\mathbf{Z}):

$$\frac{i}{b} + \frac{j}{n} \leftrightarrow -\frac{ai}{b}$$
 (for $1 \le i \le b - 1$ and $1 \le j \le n - 1$).

- The horizontal spectral numbers are $h_{ij} = \frac{i}{b} + \frac{j}{n} 1$. Let v_{ij} be the fractional part of $-\frac{ai}{b}$ (but use 1 if it's an integer).
- By Steenbrink and Saito,

$$\operatorname{Sp}(f+L^k) - \operatorname{Sp}(f) = \frac{1-t}{1-t^{1/k}} \cdot \left[\sum t^{h_{ij}+v_{ij}/k} + \text{same for other slice} \right]$$

A > 4 = > 4 = >

$$\operatorname{Sp}(f+L^k) - \operatorname{Sp}(f) = \frac{1-t}{1-t^{1/k}} \cdot \left[\sum t^{h_{ij}+v_{ij}/k} + \text{same for other slice}\right]$$

- Note that all the ingredients in the formula are spectra, with the possible exception of the v_{ij} . It's natural to want to call these "vertical spectral numbers," but what does that really mean?
- The Steenbrink-Saito formula applies to all quasi-ordinary surfaces. Can we find compatible recursions for computing all the ingredients?

$$\operatorname{Sp}(f+L^k) - \operatorname{Sp}(f) = \frac{1-t}{1-t^{1/k}} \cdot \left[\sum t^{h_{ij}+v_{ij}/k} + \text{same for other slice}\right]$$

- Note that all the ingredients in the formula are spectra, with the possible exception of the v_{ij} . It's natural to want to call these "vertical spectral numbers," but what does that really mean?
- The Steenbrink-Saito formula applies to all quasi-ordinary surfaces. Can we find compatible recursions for computing all the ingredients?

$$\operatorname{Sp}(f+L^k) - \operatorname{Sp}(f) = \frac{1-t}{1-t^{1/k}} \cdot \left[\sum t^{h_{ij}+v_{ij}/k} + \text{same for other slice}\right]$$

- Note that all the ingredients in the formula are spectra, with the possible exception of the v_{ij} . It's natural to want to call these "vertical spectral numbers," but what does that really mean?
- The Steenbrink-Saito formula applies to all quasi-ordinary surfaces. Can we find compatible recursions for computing all the ingredients?